



# REQUEST FOR PROJECT PREPARATION GRANT (PPG)

**PROJECT TYPE: Full-sized Project**  
**THE GEF TRUST FUND**

**Submission date:** 9 February 2009  
**Re-submission date:** 24 February 2009

**GEF PROJECT ID<sup>1</sup>:** TBD  
**GEF AGENCY PROJECT ID:** 41224  
**COUNTRY:** Philippines  
**PROJECT TITLE:** Agusan River Basin Integrated Water Resources Management Project  
**GEF AGENCY:** Asian Development Bank (ADB)  
**OTHER EXECUTING PARTNER:** Department of Environment and Natural Resources  
**GEF FOCAL AREAS:** Biodiversity, International Waters  
**GEF-4 STRATEGIC PROGRAMS:** BD: SP-3,4,5,7; IW: SP-2;  
**NAME OF PARENT/PROGRAM/UMBRELLA PROJECT:** Coral Triangle Initiative (CTI)

## A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	March 2009
Completion date of PPG	October 2009

## B. PAST PROJECT PREPARATION ACTIVITIES (\$)

List past project preparation activities	Output of the Activities	Project Preparation Amount (a)	Co-financing (b)	Total C = a + b
ADB TA: 4552-PHI: Master plan for the Agusan River Basin	Analysis of basin wide issues and formulation of Master plan of potential investments	0	970,000	970,000
<b>Total project preparation financing</b>		0	970,000	970,000

## C. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

It is envisioned that the GEF project preparation will coincide with the preparation of the comprehensive ADB loan project. Side-by-side preparation of both these elements will ensure the highest level of complementarity between them, and serve to harmonize activities, budgeting, and implementation arrangements for the project as a whole. Activities to be undertaken during the PPG are: filling of key data gaps through targeted research; development of a system for strengthening of protected area (PA) management within the Agusan River Basin; and promotion of an integrated river basin management system that establishes institutional, spatial and sectoral linkages within the watershed.				
List proposed project preparation activities	Output of the Activities	Project Preparation Amount (a)	Co-financing (b)	Total C = a + b
1. Water quality monitoring	WQ report with baseline data gaps filled; WQ monitoring stations identified	20,000	80,000	100,000
2. Integrated river basin management planning	Coordination workshops	10,000	80,000	90,000
3. Field biodiversity surveys, design of protected area monitoring system	Field survey reports with baseline data gaps filled, proposed framework for biodiversity monitoring system	35,000	0	35,000
4. Framework for protected area management planning	Existing PA management plans reviewed, outlines for new PAMPs prepared	30,000	0	30,000
5. Eastern Mindanao Biodiversity Corridor planning and advocacy	Draft legislation for establishment of PA network/corridor	40,000	0	40,000
6. Feasibility studies of payment for environmental goods and services, other sustainable financing for conservation initiatives	Feasibility study report with design of PES or other sustainable financing options	40,000	40,000	80,000
7. Framework for institutional coordination	Draft framework to establish RBO entity	25,000	80,000	105,000

<sup>1</sup> Project ID number will be assigned initially by GEFSEC. If PIF has been submitted earlier, use the same ID number as PIF.  
PPG Template-Septl-08

for river basin mgmt				
8. Framework for establishment of river basin information clearinghouse	Needs assessment, financial analysis, draft proposal for information clearinghouse	30,000	70,000	100,000
9. Other tasks for investment project	Feasibility studies for investments	0	635,000	635,000
10. Project Management	Hiring of admin staff, purchase of office equipment etc	20,000	90,000	110,000
<b>Total project preparation financing</b>		250,000	1,075,000	1,325,000

#### D. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

GEF Agency	Project Preparation	Agency Fee
GEF financing	250,000	25,000
Cofinancing	1,075,00	
<b>Total</b>	1,325,000	25,000

#### E. PPG REQUESTED BY AGENCY, FOCAL AREAS AND COUNTRY

GEF Agency	Focal Area	Country Name/ Global	(in \$)		
			PPG (a)	Agency Fee (b)	Total c = a + b
ADB	Biodiversity	Philippines	100,000	10,000	110,000
ADB	International Waters	Philippines (Global)	150,000	15,000	165,000
<b>Total PPG Requested</b>			250,000	25,000	275,000



#### F. PPG BUDGET REQUEST

Cost Items	Total Estimated Person Months (PM) and Person Weeks (PW) for GEF Grant	GEF (\$)	Co-financing (\$)	Total (\$)
Local consultants *	PM: 104.5(20.5 GEF) PW: 453 (89 GEF)	102,500	395,000	497,500
International consultants*	PM: 12.5 (2.5 GEF) PW: 54 (11 GEF)	55,000	220,000	275,000
Travel		23,500	51,000	74,500
Other Costs <sup>+</sup>		69,000	409,000	478,000
<b>Total PPG Budget</b>		250,000	1,075,000	1,325,000

\* A separate Annex A for Consultant cost details is included in this PPG Request.

<sup>+</sup> For GEF financing, includes costs of workshops/training, office equipment/supplies, maps/data etc. For cofinancing, includes those same items plus costs of consultants not directly involved in GEF-supported activities.

#### E. GEF AGENCY CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF criteria for project identification and preparation.	
 David S. McCauley Principal Environment Specialist GEF Agency Coordinator Date: 9 February 2009	 Ian Makin Senior Water Resources Management Specialist Project Contact Person Tel. and Email: <a href="mailto:imakin@adb.org">imakin@adb.org</a> ; (632) 632 5803

## **Annex A**

### **Consultants Financed by the Project Preparation Grant (PPG)**

<b>Position Titles</b>	<b>\$/ Person Week (PW)</b>	<b>Estimated PWs</b>	<b>Tasks to be performed <sup>++</sup></b>
<b>Local</b>			
1. Deputy Team Leader	1150	5.0	PPG management
2. Environment Specialist	1150	13.0	Integrated river basin management planning; Eastern Mindanao Biodiversity Corridor planning and advocacy; Feasibility studies of payment for environmental services, other sustainable financing for conservation initiatives; Framework for institutional coordination for river basin management; Framework for establishment of river basin information clearing house
3. Biodiversity Specialist	1150	15.0	Field biodiversity surveys, design of protected area monitoring systems; Framework for protected area management planning; Eastern Mindanao Biodiversity Corridor planning and advocacy
4. Water Quality Management Specialist	1150	13.0	Water quality monitoring; Integrated river basin management planning; Framework for establishment of river basin information clearing house
5. Solid waste management specialist	1150	8.5	Integrated river basin management planning
6. Participatory planning specialists	1150	17.0	Integrated river basin management planning; Field biodiversity surveys, design of protected area monitoring systems; Framework for protected area management planning; Eastern Mindanao Biodiversity Corridor planning and advocacy; Feasibility studies of payment for environmental services, other sustainable financing for conservation initiatives; Framework for institutional coordination for river basin management
7. Economists	1150	9.0	Feasibility studies of payment for environmental services, other sustainable financing for conservation initiatives
8. Data Management Specialist	1150	8.5	Water quality monitoring; Field biodiversity surveys, design of protected area monitoring systems; Framework for establishment of river basin information clearing house
<b>International</b>			
1. TL / Basin management specialist	5,075	4.5	Water quality monitoring; Integrated river basin management planning; Framework for protected area management planning; Framework for establishment of river basin information clearing

			house Project management
2. Environmental Specialist	5,075	6.5	Integrated river basin management planning; Eastern Mindanao Biodiversity Corridor planning and advocacy; Feasibility studies of payment for environmental services, other sustainable financing for conservation initiatives; Framework for institutional coordination for river basin management; Framework for establishment of river basin information clearing house

<sup>++</sup> Tasks refer to table in part C, Project Preparation Activities, that each consultant will carry out.