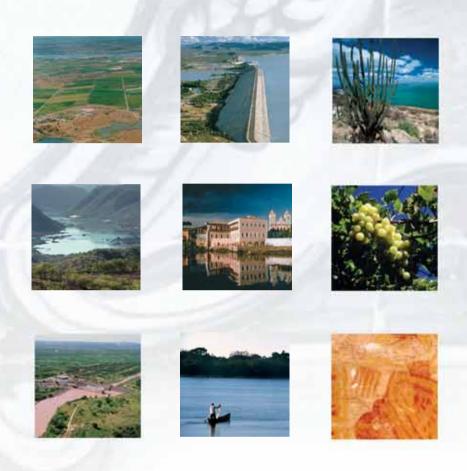
Integrated Management of Land-Based Activities in the São Francisco River Basin ANA/GEF/UNEP/OAS



Strategic Action Program for the Integrated Management of the São Francisco River Basin and its Coastal Zone – SAP

Executive Summary

© 2004 Strategic Action Program for the Integrated Management of the São Francisco River Basin and its Coastal Zone – SAP – Executive summary. All rights reserved by the National Water Agency – ANA (Agência Nacional de Águas), Global Environment Facility – GEF, United Nations Environment Programme – UNEP and the Organization of American States – OAS. Text contained in this publication, provided it is not used for commercial purposes, may be reproduced, stored or transmitted. Images may not be reproduced, stored or transmitted, except with expressed authorization from the respective copyright holders.

PRESIDENT OFTHE REPUBLIC Luiz Inácio Lula da Silva

VICE-PRESIDENT José Alencar Gomes da Silva

MINISTER OF ENVIRONMENT Marina Silva

DIRECTION AND COORDINATION OF THE GEF SÃO FRANCISCO PROJECT NATIONAL WATER AGENCY (AGÊNCIA NACIONAL DE ÁGUAS) – ANA Board Members Jerson Kelman – Director-President Benedito Braga Ivo Brasil Dilma Seli Pena Pereira Marcos Aurélio Vasconcelos de Freitas

Antônio Félix Domingues - Superintendent of Water and Soils–ANA National Director of the GEF São Francisco Project

João Gilberto Lotufo Conejo Coordinator of Activity 4.5 – Drafting of the SAP

BRAZILIAN COOPERATION AGENCY – ABC – (AGÊNCIA BRASILEIRA DE COOPERAÇÃO) of the MINISTRY OF FOREIGN RELATIONS (MRE, MINISTÉRIO DAS RELAÇÕES EXTERIORES) Cecília Malagutti de Souza – Manager for Received Multilateral Technical Cooperation-ABC/MRE

GLOBAL ENVIRONMENT FACILITY – GEF INTERNATIONAL WATERS – IW Alfred Duda, GEF Senior Advisor on International Waters Andrea Merla, GEF Program Manager on International Waters

UNITED NATIONS ENVIRONMENT PROGRAMME-UNEP Isabelle Vanderbeck, Operations Manager GEF/UNEP ORGANIZATION OF AMERICAN STATES – OAS Thomas Scott Vaughan, Director, Unit for Sustainable Development and Environment – USDE/OAS

Jorge Rucks Head of Geographic Area II, South America–USDE/OAS

Nelson da Franca Ribeiro dos Anjos International Coordinator of the GEF São Francisco Project Principal Water Resources Specialist – USDE/OAS

Maria Stefanova Apostolova Specialist – USDE/OAS

Technical Team José Luiz de Souza, Technical Coordinator of the GEF São Francisco Project

Team of Consultants Antônio Carlos Tatit Holtz Fernando A. Rodriguez Ailton Francisco da Rocha Hiroaki Makibara Albano Henrique de Araujo Guilherme Pimentel Holtz

TDA Desenho & Arte Ltda.

Director: Marcos Rebouças Graphic design and Publication: Marcos Rebouças and Eduardo Meneses Layout: Márcio Duarte and Eduardo Meneses EnglishTranslation: George Piers Aune, RAPPORT traduções e interpretação Ltda. Printing: Athalaia Gráfica e Editora www.tdabrasil.com.br

Brazil. Organization of American States.

Integrated management project for land-based activities in the São Francisco River Basin : Strategic Action Program for the integrated management of the São Francisco River Basin and its coastal zone – SAP: GEF São Francisco : Summary / Organization of American States; Global Environment Facility; United Nations Environment Programme et al.. – Brasilia : TDA Desenho & Arte Ltda., 2004.

48 p. ; il..

1. São Francisco River Basin Committee – CBHSF. 2. Environment. 3. São Francisco River Basin. 4. São Francisco River. 5. Sustainable Development. 6. Water Resources. I. Brazil's National Water Agency-ANA (Agência Nacional de Águas). Global Environment Facility. II. Brazil. United Nations Environment Programme. III. Strategic Action Program for the Integrated Management of the São Francisco River Basin and its coastal zone-SAP. IV. GEF São Francisco. Integrated Management of Land-Based Activities in the São Francisco River Basin ANA/GEF/UNEP/OAS



Strategic Action Program for the Integrated Management of the São Francisco River Basin and its Coastal Zone – SAP

Executive Summary

August/2004

Source: National Water Agency – ANA São Francisco River Basin Mosaic of Landsat satellite images (1991-92)

table of contents

Introduction

1. Background, content, process, scope and lessons learned from the SAP	6
2. Characteristics of the São Francisco River Basin and its coastal zone	8
3. Institutional context	11
4. Strategic guidelines for the management of the São Francisco River Basin and its coastal zone	14
5. Strategic actions and selected activities	17
6. Implementation of the SAP	27
7. Selected bibliography	37
8. Principal participants in the drafting of the SAP	39
9. Annex	46

4

list of tables

1. Physical and socioeconomic characteristics of the São Francisco River Basin, by physiographic region	9
2. Availability and demand for water resources in the São Francisco River Basin (SAP review)	10
3. Implementation schedule, monitoring and evaluation of the SAP	28
4. Annual disbursement schedule for financial resources	29
5. Selected PPA programs with links to the SAP	30
6. Investments foreseen in the São Francisco River Basin, by state - PPA 2004-2007	30
7. PPA 2004-2007 for Minas Gerais	31
8. PPA 2004-2007 for Alagoas	32
9. PPA 2004-2007 for Pernambuco	32
10. PPA 2004-2007 for Bahia	33
11. PPA 2004-2007 for Sergipe	34
12. PPA 2004-2007 for the Federal District	35
13. Components of the activities	47

list of figures

1. State and municipal boundaries in the São Francisco River Basin	5
2. National Water-Resources Management System	12
3. Organization of river basin management	13
4. Probable structure of the São Francisco River Basin Plan and its interface with the SAP	16
5. Interactions between the São Francisco River Basin Plan and the SAP	18
6. Structure of the SAP	19
7. SAP – Actions for Component I	21
8. SAP – Actions for Component II	22
9. Location of GEF-São Francisco activities	48

Introduction

he objective of the Strategic Action Program for the Integrated Management of the São Francisco River Basin and its Coastal Zone (SAP) is to propose an action program, covering a period of approximately four years, targeted at resolving conflicts and revitalizing the São Francisco River Basin and its coastal zone (Figure 1).

The SAP is the outcome of the first stage of the Project for the Integrated Management of Land-based Activities in the São Francisco River Basin, approved by the Global Environment Facility (GEF) in 1998. Known locally as the GEF São Francisco Project, the project was prepared between July and November 2003. It is based upon the Diagnostic Analysis of the Basin (DAB) and its preparation involved intense public participation and discussions within the scope of the São Francisco River Basin Committee (CBHSF), involving more than 12,000 people and 404 institutions.

Once the Plans and Programs Work Group (GT-CBHSF) had examined all the strategic actions proposed, the CBHSF voted unanimously at their plenary meeting, held on October 1st to 3rd, 2003, to support continuation of the SAP preparation and negotiation process, and to provide the technical and political support required for its consolidation.

Consequently, the SAP reflects the results of this consultative participation and stands as a major contribution to improving the process of environmental management in the São Francisco River Basin and its coastal zone, thus making it possible to conceive and implement an integrated management model, adjusted specifically to the context of the Basin. In March 2004, a 336 page SAP Final Report was published, and this present 48 page Summary was issued in May 2004 for the convenience of authorities and decision makers.

The report is divided into eight chapters. Chapter 1 presents the background, preparation process and basic content of the SAP, including its national and international ramifications, and lessons learned during the course of project implementation.

The major geographic, environmental and socio-economic characteristics are presented in Chapter 2.

Chapter 3 deals with the institutional framework within which development of the Basin and its coastal zone is conducted.

Chapter 4 provides strategic guidelines for the management of the Basin and its coastal zone.

The Strategic Action Program, designed to be implemented over a four-year period, together with its principal components and activities, is described in Chapter 5.

Chapter 6 deals with issues of program implementation.

Chapters 7 and 8 comprise a selected bibliography and a listing of the major participants and collaborators involved in the Project.

The Annex presents a list of the locations of the activities carried out within the scope of the Program for the Integrated Management of the São Francisco River Basin and its Coastal Zone – (ANA/GEF/PNUMA/OEA).



Source: ANA/Codevasf.

Figure 1. State and municipal boundaries in the São Francisco River Basin

Background, content, process, scope and lessons learned from the SAP



The São Francisco River Basin and its Coastal Zone are areas of strategic importance to the development of a vast region of Brazil. Thus, these areas have been targeted for constant government attention and its natural resources are increasingly subject to demands on the part of local and regional society.

The various interventions to which the river and its most important tributaries have been subjected have generated complex alterations in its flow system, with repercussions on its coastal zone.

Studies and projects previously carried out in the Basin have never assumed an approach that encompasses the entire area, including the coastal zone, and neither has an integrated-management approach ever been applied.

A portion of the Basin is in the semi-arid region, extending into the northern part of Brazil's Northeast Region. The São Francisco River, with an annual average flow of 2,850m³/ second, accounts for roughly two-thirds of the freshwater available in the entire Northeast Region. It is for this reason that the possibility of sharing this water, through an interbasin transfer scheme, with other Northeastern states outside of the Basin has been a recurring theme since Imperial times.

The highly complex ramifications of the proposal for transfer of the São Francisco River's waters, the current situation in the Basin and its coastal zone, and the potential for conflict among states that share the Basin, have led to efforts that seek to prioritize measures for revitalizing the River so that future population-growth and socio-economic development demands will not result in the adoption of approaches that ultimately jeopardize the sustainability of the Basin and its coastal zone.

In 1996, the Brazilian Government requested that the Organization of American States (OAS), in collaboration with the United Nations Environment Programme (UNEP), prepare a request for funding from the GEF Project Development Facility. These funds were to be used for the preparation of a two-stage program for water-resources management and planning in the São Francisco River Basin. With the assistance of UNEP and OAS, a PDF/B¹ proposal was prepared and submitted in the amount of US\$ 341,000, and approved by the GEF Council.

It was proposed that UNEP perform the role of implementing agency, in view of the nature of the task that comprises initial strategic programming, whereas UNEP's partnership with the OAS flows from the latter's experience in carrying out similar work throughout Latin America. Initially, the Secretariat of Water Resources of Brazil's Ministry of Environment (SRH/MMA) was designated as the local executing agency. Subsequently, in 2001, in line with legislative changes in the framework of the National Water Resources Policy (PNRH), the newly-created National Water Agency (ANA) assumed the role of local executing agency.

In March 1997, the GEF Council approved the request submitted by the Brazilian Government. A grant under the

¹PDF/B (Project Development Facility, Block B) is a preliminary request for a GEF grant and funding for preparation of a Cooperation Project.

PDF/B enabled preparation of a project known as Project GF/1.100/99-14 – Integrated Management of Land-based Activities in the São Francisco Basin – that was approved in July 1998, in the amount of US\$ 22.214 million. Of this amount, the GEF provided US\$ 4.771 million.

Execution of the project made it possible to develop a Diagnostic Analysis of the Basin (DAB), the first version of which was published in July 2003. Subsequently, a preliminary draft of the Strategic Action Program for the Integrated Management of the São Francisco Basin and its Coastal Zone (SAP) was completed in December 2003.

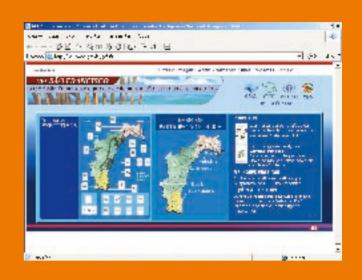
During the preparation of the SAP, an effort was made to encompass all aspects of actions for the integrated management of the Basin and its coastal zone and, to this end, a process of debate was launched, similar to that conducted during preparation of the DAB, involving ample public participation.

During execution of the Project, 217 public events were held, in the form of seminars, workshops, work meetings and plenary sessions.

Over 12,000 stakeholders, representing more that 400 organizations with interests in the Basin and its coastal zone, including federal, state and municipal governmental organizations, universities, non-governmental bodies, unions and associations, participated in these events.

The preparation the activities indicated in the Annex, the DAB and the SAP was carried out with the assistance of some two hundred consultants, who supplied documentation and debated proposals at the events, and consolidated information into final reports.

This entire process culminated in the Plenary Meeting of the São Francisco River Basin Committee (CBHSF) in Penedo, and the drafting of two documents: the Recommendations of the Plans and Programs Work Group in support of the SAP, and CBHSF Deliberation 03 that provides for the integration of the SAP into the São Francisco River Basin Plan.



The GEF São Francisco Website http://www.ana.gov.br/gefsf/

Information on over three years of research and demonstration projects carried out under the 29 Activities that comprise the project on the Integrated Management of Land Based Activities in the São Francisco River Basin (ANA/ GEF/UNEP/OAS)—GEF São Francisco—is available over the Internet.

Executive Summaries of the Final Reports on the Activities, the Diagnostic Analysis of the São Francisco River Basin and its Costal Zone (DAB), and the Strategic Action Program for the Integrated Management of the São Francisco River Basin and its Coastal Zone (SAP) are available in PDF format for download.

With a view to forming a borderless virtual community for the exchange of information on the São Francisco River Basin, the Website was developed with the following aspects in mind:

- Design: the layout of the site was designed for ease of navigability and adequate representation of GEF Projects;
- Content: within an information-technology architecture, the Website seeks to provide a comprehensive vision of the Project, including all of the Project's principal outputs, executive summaries of the major documents, and an overview of its stakeholders in order simultaneously to fulfill an array of institutional, technical, journalistic, educational, and communications needs, in both English and Portuguese;
- Technology: within a keyword-searchable database, the Website provides a management system for circulating the content in such a way as to allow an infinite number of people to publish reports directly onto the website from any part of the world;

The GEF São Francisco Website seeks to provide a simple system for the management and dissemination of knowledge on the São Francisco River Basin and its Coastal Zone.

Characteristics of the Basin and its coastal zone

The São Francisco River Basin is a vast and complex system encompassing various Brazilian states. From the jurisdictional standpoint, the political-administrative organization of the São Francisco River Basin involves the federal government, state and Federal District authorities, and also municipal authorities, which, according to Brazil's Constitution, are autonomous within the Brazilian Federation. In order to harness synergies capable of contributing to Brazil's development on a sustainable basis, the management model for the São Francisco River Basin must entail intense interaction, integration and negotiation among all of these parties.

In addition to this decentralized and federative framework, under the terms of Law 9.433/97, the basic unit for water-resources management is the hydrographic basin, thus making negotiation and political integration across political boundaries imperative.

A setback challenging the implementation of this Law has been institutional weaknesses and fragmentation, with countless organizations dealing with development and water-resources issues, at the federal, state and municipal levels, with little coordination among them.

The creation and installation, at the end of 2002, of the São Francisco River Basin Committee (CBHSF) is a clear indication of the progress achieved in implementing a National Water Resources Management System. The establishment of the Basin Committee is evidence of a new form of State organization, in which elected government officials are not the only participants, since seats are also reserved for representatives of organized civil society.



Practically every imaginable type of water resources use can be found in the Basin. For this reason, the region provides an important focus for studies on how to optimize and harmonize various forms of water use, namely: generation of electricity, shipping, irrigation, fishing, tourism and leisure, dilution of wastes, household and industrial water supply, mining, and others. Moreover, aside from these disparate forms of use, it is necessary to ensure adequate flows for preservation of the environment.

The São Francisco River Basin is marked by socio-economic disparities and environmental vulnerabilities, in which wealthy areas with high population densities coexist alongside areas with severe poverty and low population densities.

The Metropolitan Region of Belo Horizonte (RMBH), located in the Upper São Francisco River Basin, is clustered around the capital of the State of Minas Gerais. With 26 municipalities and an area of 6,255 km², the portion of the basin located in Minas Gerais represents less than 1% of the entire São Francisco River Basin. However, its 3,900,000 inhabitants (according to the 2000 census) correspond to roughly 29.3% of the population in the entire Basin.

Among the studies carried out to provide the technicalscientific bases for preparation of the SAP was the delineation of a new physiographic division of the São Francisco River Basin. This coincided with the findings of the Final Report of the Federal Senate Monitoring Commission on the São Francisco River Revitalization Project, that concluded: "there is a need for broad discussion in academic and administrative circles with the aim of updating these limits." The proposed new framework preserves the current four physiographic divisions (Upper, Middle, Lowermiddle and Lower), but redefines the borders between the Lower-middle and Lower São Francisco River Basins following a line that passes close to the town of Belo Monte (AL). This boundary is based on geological, geomorphological, hydrographic, and climatic criteria that provide physiographic homogeneity that should be respected within the scope of the proposed Basin Plan.

Approximately 343,784 km² of the São Francisco River Basin, corresponding to 53.8% of its total area, are in the so-called

Drought Polygon. A total of 251 municipalities lie within this area, which has a population of more than 5,680,000.

Table 1 summarizes the main physical, natural and socio-economic characteristics of the Basin, by physiographic region.

Much research remains to be done to determine present and future demands for water, by region, by economic sector, and by water source. Assessments are needed of the potential of hydro-geological water sources and small tributaries, especially in areas where the greatest agricultural demands are concentrated, and particularly along very fragile watercourses.

Characteristic	Total or Average	Upper	Middle	Lower-middle	Lower and Adjacent Coastal Zone
Area, km ²	636,920	99,387	401,559	115,987	19,987
Area, %	100%	15.6%	63.1%	18.2%	3.1%
Length of main stem, km	2,863 km	1,003	1,152	568	140
States encompassed	Minas Gerais (MG), Federal District (DF), Goiás (GO), Bahia (BA), Pernambu-	MG	MG, DF, GO, and	BA, PE, AL, and	PE, AL, and
	co (PE), Alagoas (AL), and Sergipe (SE)		ВА	SE	SE
Number of municipalities ¹	503	194	173	93	78
Population and (%)	13,297,955 (100)	6,489,402 (48,8)	3,364,383 (25,3)	2,021,289 (15,2)	1,422,881 (10,7)
Urbanization, %	100	93	57	54	51
Population density, popu- lation per km ²	20.1	62.9	8.0	16.8	68.7
Elevation, m		1,600 to 600	1,400 to 500	800 to 200	480 (sea level)
Slope of main stem, m/km		0.70 to 0.20	0.10	0.10 to 3.10	0.10
Prevailing climate		Tropical humid and temperate	Tropical semi-arid and subhumid dry	Semi-arid and arid	Sub-humid
Availability of water, m³/per person /year	7,024	6,003	15,167	899	1,172
Median annual rainfall, mm	1,036	2,000 to 1,100 (1,372)	1,400 to 600 (1,052)	800 to 350 (693)	350 to 1,500 (957)
Median temperature, °C	18 to 27	23	24	27	25
Median annual sunlight, hours		2,400	2,600 to 3,300	2,800	2,800
Median annual evapora- tion/ transpiration, mm	896	1,000	1,300	1,550	1,500
Contribution to the flow, %	100	41.7	54.6	1.9	1.8
Maximum median mon- thly flow, m ³ /s		Pirapora, 1,303 in February	Juazeiro, 4,393 in February	Pão de Açucar, 4,660 in February	Foz, 4.680 in March
Minimum median monthly flow, m ³ /s		Pirapora, 637 in August	Juazeiro, 1,419 in September	Pão de Açucar, 1,507 in September	Foz, 1,536 in Sep- tember
Sediments, 106/t/yr, and (area, km²)	9.8 (636,920)	Pirapora 8,3 (61,880)	Morpará 21,5 (344,800)	Juazeiro 12.9 (510,800)	Propriá 0,41 (620,170)
Predominant vegetation cover		Cerrado and forest remnant	Cerrado, "caatinga" and small, high altitu- de forest	"Caatinga"	Semi-deciduous sea- sonal forest, mangrove swamps and coastal vegetation

Table 1: Physical and socio-economic characteristics of the São Francisco River Basin, by physiographic region

¹The sum of 538 municipalities (rather than 503) results from some being counted twice, as they are located in 2 physiographic regions.

1	0
1	U
	-

Basic sanitation, % of homes - Water supply - Sewers - Sewage treatment		90 71 80%	43 13 1%	37 22 17%	33 9 1%
Navigable waterways, km	2,061		1,243 between Pira- pora and Petrolina/ Juazeiro, 104 in Para- catu, 155 in Corrente, and 351 in Grande	60 between Piranhas and Belo Monte	148 from Belo Monte to the mouth
Principal hydroelectric dams (power output potential, MW)		Três Marias (396), Rio das Pedras (9.3), Cajuru (7.2), Queimados (10.5), Parauna (4.1)	Sobradinho (1,050), Panderos (4.2), Corren- tina (9.0), Rio das Fêmeas (10.0)	Paulo Afonso I, II, III and IV (3,986), Mo- xotó (440), Itaparica (1,500), Xingo (3,000)	
Irrigated area, ha and (%)	342,712 (100)	44,091 (12.9)	170,760 (49.8)	93,180 (27.2)	34,681 (10.1)

Continued...

Table 2 summarizes information on the availability and demand for water in the São Francisco River Basin, and indicates that the total demand for water in the Basin corresponds to approximately 24% of the minimum (Q_{qs}) flow.

Broken down by physiographic region, the major impacts related to interactions between water resources and the environment are as follows:

Upper: erosion, including that originating from rural roads, producing sediment loads that affect water courses creating water quality problems and silting of river beds; urban, industrial and mining activities, generating wastes, sewage and a variety of pollutants, jeopardizing water quality in streams and lakes that receive these discharges.

Middle: widespread pollution caused by agriculture and sewerage discharges, jeopardizing the quality of surface and ground waters; intensive use of surface and ground waters for irrigated farming. **Lower-middle:** widespread pollution caused by agriculture and sewerage, including discharges into intermittent water courses; uncontrolled discharges and inadequate disposal of solid wastes; water shortages owing to the intermittent nature of tributaries.

Lower and coastal zone: physical impacts caused by upstream dams on the ichthyofauna, including loss of biodiversity owing to reduced nutrient concentrations and flood control structures that inhibit fish from going up river to spawn (piracema); erosion on the banks and bed of the São Francisco River; modification of the sediment balance and flooding patterns at the estuary.

Suspended sediment concentrations in the Basin are shown in Figure 1. Based on measurements made during the GEF São Francisco Project in 2001, the discharge of sediments at the mouth amounted to only 0.41 million tons/year, suggesting that there has been a reduction of 97% in these loads as compared to measurements effected by CODEVASF, between 1966 and 1968, that recorded loads of 12.5 million tons/year.

Table 2. Availab	Table 2. Availability and demand for water in the São Francisco River Basin (SAP – Reviewed).										
Physiographic	Area	Flow	*		Domand $O(9/)$						
Region	(km²)	Q (m³/s)	Q ₉₅ (m³/s)	Urban	Rural	Livestock	Industry	Irrigation	Total	- Demand Q ₉₅ (%)	
Upper	99,387	1,189 (1,189)*	289 (289)*	26.8	2.2	2.5	11.4	14.4	57.3	19.8	
Middle	401,559	1,522 (2.711)*	531 (820)*	4.6	2.8	3.2	0.8	58.8	70.2	13.2 (8.5)*	
Lower-middle	115,987	111	25	2.8	2.3	1.4	0.4	50.5	57.4	229.6 (6.8)*	
Lower	19,987	28 (2.850)*	8 (853)*	1.1	1.4	0.7	0.3	14.4	17.9	223.7 (2.1)*	
Total	636,920	2,850	853	35.3	8.7	7.8	12.9	138.1	202.8	23.8*	

Q: natural contribution of each stretch; Q_{us}: flow with 95% confidence of recurrence; *Availability is considered by physiographic region. Source: SRH/MMA and ANA, 2003



S Institutional context

The fact that the Basin and its coastal zone encompass six states, 503 municipalities and part of the Federal District (Figure 1), and that its waters are subject to the jurisdiction of numerous federal and state institutions, justifies the complex and multidisciplinary nature of the institutional model adopted, which must seek to create synergies, rather than provoking divisions or antagonisms.

The principal regional institutions involved in the management of the Basin and its coastal zone, and their respective responsibilities for projects connected to the SAP, were ranked. Nineteen national and five regional bodies and companies were identified, of which 12 were from Minas Gerais, two from the Federal District, two from Goiás, four from Pernambuco, 11 from Bahia, seven from Sergipe, and five from Alagoas.

During the SAP formulation period, the institutional framework for managing land and water resources in the Basin was analyzed from the standpoint of the principal potential approaches to the management of water resources, with a view to integrating governmental and private initiatives and maximizing synergies.

The National Water Resources Management System was established by Law 9.433/97, and its administrative structure is shown in Figure 2, which also shows the scope of the activities and inter-relationships between the various bodies engaged in water-resources management activities, including the Basin Committee.

Federal level

- National Water Resources Council CNRH
- National Water Agency ANA

 Secretariat of Water Resources of the Ministry of Environment – SRH/MMA

State level

- State Water Resources Management Systems
- State Water Resources Councils CERH
- State-level Public Water Resources Management Bodies

Basin level

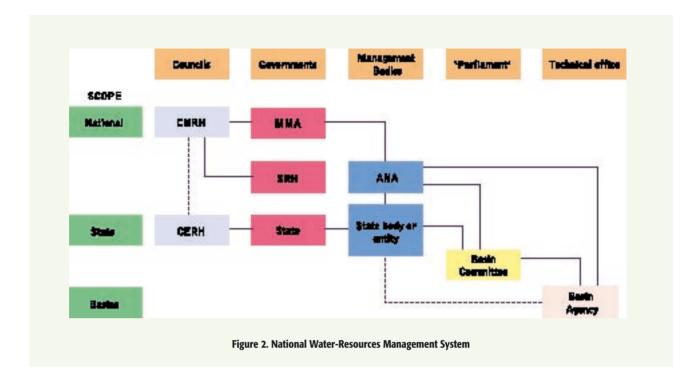
- Basin Committees
- Basin Water Agencies

Public participation in the management of water resources in Brazil has grown in recent years. Such participation is always more intense when there are conflicts of interest, either over quantities of available water or the conservation of water resources.

Decentralized decision making has proven an approach capable of legitimizing and strengthening the role of wateruser organizations in river basins. The aim of decentralized decision making is to promote collective action and, thereby, generate joint responsibility on the part of the various agents involved.

To achieve effective public participation, it is essential to respect the specific characteristics of each region, in terms of both public participation in institutional management, and their approaches to water resources projects. Such characteristics are reflected in the institutional organization of each of Brazil's states, and in the levels of public and private participation achieved.

Such social participation, however, is still just beginning and is very fragile. More widespread participation of water users in



public decision-making requires integrated, decentralized and participatory action. This implies involving the entire institutional system for water-resources management in actions directed toward integrated river basin management, through the strengthening of participation in public initiatives, in line with concerns expressed by society.

The São Francisco Basin Committee, an innovative approach to effective water-resources management, has now been instituted. The Basin Committee acts as a veritable parliament for the deliberation of water-resources management issues, and has played a major role in assessing actions proposed in the SAP.

Its scope encompasses the entire length of the São Francisco River Basin, and its membership comprises representatives of organized civil society and water users, as well as representatives of Executive-Branch institutions from the three spheres of government which account for half of its total membership.

The principal objectives of the Basin Committee include: fostering integrated development of water-re-

sources management; securing the technical, economic and financial investment programs and projects to support integrated public and sectoral policies with the aim of fostering sustainable development in the São Francisco River Basin as a whole; and, promoting interfaces between national and state water resources-management systems, including the integration of municipal policies and regional proposals for plans, programs and projects into the directives and goals established for the São Francisco River Basin, with a view to ensuring the conservation and protection of water resources in the entire Basin.

The Basin Committee depends upon a Water Agency empowered to perform the functions of its Executive Secretariat.

The role of Regional Consultative Chambers in the Basin Committee also merits mention. These are boards, formed to reflect the physiographic divisions of the Basin, whose duties include: promotion of links between subbasins committees; recommendation of requests from sub-basins committees; support for shared-management initiatives within the scope of the Basin; discussion and presentation of suggestions relating to issues within their sphere of authority to the Basin Committee; promotion of actions carried out in the area of the Basin; coordination, within the scope of their activities, and mobilization for the renewal of the terms of office of Basin Committee members; and, preparation of public meetings approved by plenary sessions of the Basin Committee.

In parallel with the work of governmental institutions and the Basin Committee, there are a considerable number of Non-Governmental Organizations (NGOs) working in the Basin and its coastal zone. These are non-profit entities whose efforts are directed toward various aspects of environmental protection and community development, some of which are dedicated to aspects of water-resources management. Some of these NGOs are concerned with regional development, while others have a more strictly local role. These NGOs could be important partners in the implementation of the SAP, as they could potentially institute a model for private-state integration. The National Water Agency (ANA), in the exercise of its technical and institutional role aimed at implementing integrated shared water-resources management strategies, has proposed that a Management Pact be formalized through an Integration Agreement between ANA, the States, and the Basin Committees. Figure 3 illustrates the potential interaction of the various participating institutions in river basin management under an Integration Agreement.

It should be noted that the São Francisco River Basin is located in sub-region 39C of the Global International Waters Assessment (GIWA) project that encompasses the coastal ocean waters of the eastern and southern coast of Brazil. This area comprises the South West Atlantic Large Marine Ecosystem, as defined by UNESCO, and includes all the inland waters that flow out to this coast. Within this coastal area, the National Coastal Management Program (GERCO) was instituted by Law 7.661/88 with a view to enabling implementation of the National Coastal Management Plan (PNGC).

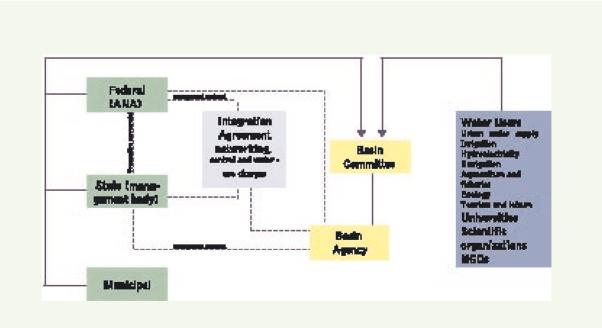


Figure 3. Organization of river basin management

Strategic guidelines for the management of the São Francisco River Basin and its coastal zone

The Federal Government's Multi-Year Action Plan (PPA) for 2004-2007 is targeted at implementing the following long-term strategies: social inclusion and distribution of income through vigorous GDP and employment growth; environmentally-sustainable growth to reduce regional disparities, stimulated by expansion of the mass consumer market through investments and productivity gains; reduced vulnerability to external factors by expanding competitive activities which make such sustained growth achievable; and strengthening citizenship and democracy.

Investments in water-resources, sanitation and housing, provided for in the PPA, comprise a large portfolio of projects for the future.

In the light of a forecast Gross Domestic Product (GDP) growth of between 1% and 1.5% during 2003 and 3.5% during 2004, the Government is predicting longer-term growth in GDP of about 4% in 2005, 4.5% in 2006, and 5% in 2007. From a regional standpoint, the growth forecasts for the Northeast (a region in which the São Francisco River Basin makes a significant contribution) would thus be significantly affected, as would its share in national GDP.

It is, however, unlikely that these forecasts will materialize in a uniform manner throughout the Basin. Attainment of such goals is more achievable in the Middle São Francisco River Basin, where projects currently underway point toward their fulfillment.

In the western part of the Middle São Francisco River Basin, in both Minas Gerais (in the Sub-basin of the Para-



catu River) and Bahia (in the Sub-basins of the Corrente and Grande Rivers), the expansion of intensive farming, principally for grain production, will continue. In the Lower São Francisco River Basin, sustainable development will continue to rely on tourism and aquaculture, the latter including restoration of local fisheries where possible, since prospects for other economic alternatives in this region are very limited.

Even in a less adverse scenario, serious doubts persist as to how sustained growth in the Basin can be financed, and there is a preeminent need to attract private investment capital. Regardless of the scenario, it is clear that agribusiness will remain a major economic activity and a driving force behind development in the area.

It is important to bear in mind that immense areas of land in the São Francisco River Basin have not as yet been utilized, and that, as in many areas currently being used, methods applied in their exploitation may not always be the most sustainable or orthodox. This underscores the importance of understanding that sustainable development in the São Francisco River Basin needs to be based on the three-pronged model: "water – land – energy."

Water is the most critical limiting factor to long-term sustainability and, for this reason, it will be necessary to conduct a careful assessment of the role of water-resources management in the sustainable development of the Basin, as conceptualized in the São Francisco GEF Project.

The major challenge facing the São Francisco River Basin Committee will be that of consolidating its position as the forum for determining a strategic program for the Basin with the aim of guaranteeing revitalization of the river and optimization of its multiple uses, in an efficient and democratic manner.

Consequently, the most urgent challenges facing the São Francisco River Basin Committee are issues of an operational nature, including determination of operational mechanisms to ensure its sustainability; to provide for its technical, financial and administrative support; to confirm its assignment of responsibilities; to provide a framework for its decisionmaking procedures; and to accommodate the structuring of its technical councils and regional advisory bodies.

To this end, strategies for the decentralized implementation of these management tools are urgently needed to enable the Committee, on the basis of technical information and with legal support, to address these issues and make decisions that will determine the destiny of the Basin.

However, in view of the size and complexity of the São Francisco River Basin and its coastal zone, there is a need to stimulate and strengthen intermediary channels of exchange between society and the Basin Committee, in line with the realities of each region, and to ensure that the views of bodies such as the tributary committees and the regional advisory councils are heard by the Basin Committee. The strengthening of such channels would assist in enabling the Committee's decision-making agenda, incorporating relevant issues of concern in the Basin, and ensuring that decisions made when the Committee is in session are preceded by ample discussion within the affected regions and sub-basins, thereby guaranteeing that the views of all of the various stakeholders are effectively represented.

The principal actions foreseen are:

- Review and adjustment of the legal framework to ensure sustainability of the São Francisco River Basin Committee
- Establishment and functions of the Technical Office
- · Creation of Regional Advisory Councils
- Creation of Technical Councils
- Creation of a Basin Water Agency, including:
 - Definition of the legal responsibilities of the Basin Water Agency

- Creation of the Basin Water Agency
- Provision for the budget of the Basin Water Agency
- Implementation of the Basin Water Agency
- Preparation of a Strategic Plan for the Basin Water Agency

According to Law 9.433/97, the management instruments established under the National Water Resources Policy are classified as technical, economic and strategic.

- The principal technical instruments are:
 - Basin Water Resources Plans or Basin Plans
 - Classification of bodies of water
 - Licenses
 - Information Systems
- Economic instruments consisting of water use charges
- Strategic instruments consisting of inspection and enforcement measures

Although they contain some similar elements, the SAP and the Basin Plan have quite distinct objectives, content and execution schedules. The SAP is a document containing a set of strategic actions designed to address priority concerns, prepared with support from the Global Environment Facility to the National Water Agency (ANA). The activities and analyses of causal chains, and the identification of proposed courses of action to be pursued are targeted at correcting or mitigating these critical problems. Its scope, however, encompasses integrated management actions applied throughout the entire São Francisco River Basin. Moreover, in order to be eligible for GEF funding, such actions must be in compliance with the GEF's criteria.

The São Francisco River Basin Plan, in its full final version, will be a much more comprehensive document. Indeed, basin water resources plans need to be conceived as continuous and dynamic processes, subject to periodic review, and focused on long-term horizons, expressed through scenario analyses that examine future conditions for the development within their respective river basins. The drafting of such plans will involve a complex participative process under the coordination of the River Basin Committee. Components of an investment program, to be pursued under the Basin Plan, could be grouped into two major categories: management, relating mainly to actions of a 'non-structural' nature, and services and works, relating to actions of a 'structural' nature, comprising the more direct corrective interventions to be effected in the River Basin.

The contribution of the SAP, within the scope of the Basin Plan, is more closely related to the lines of action envisioned under the management component, in view of the eligibility criteria emanating from the GEF itself, which is its financial agent. In view of this, and with a view to providing greater clarity for the purposes of the preliminary draft of the Basin Plan, it is suggested that the management component be covered by actions encompassed by the SAP whereas actions under the services and works component be left for subsequent definition. Under such an arrangement, aspects relating to services and works in an investment program to be executed under the Basin Plan could be structured as shown in Figure 4.

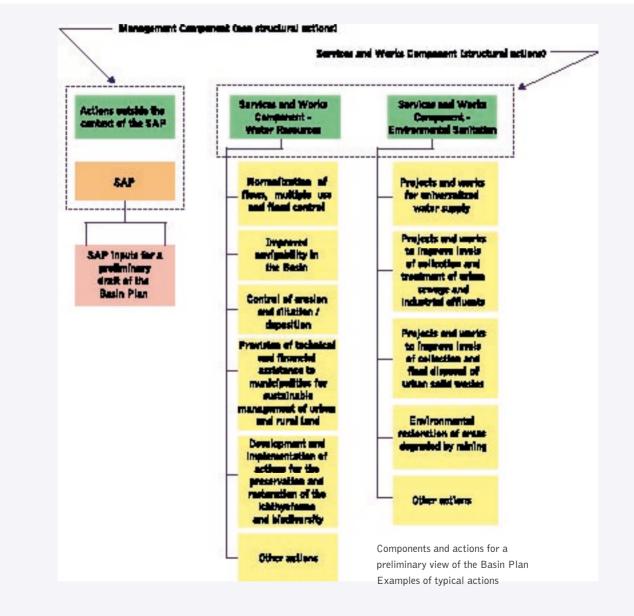


Figure 4. Probable structure of the São Francisco River Basin Plan and its interface with the SAP



Strategic actions and selected activities

In the development of the GEF São Francisco Project, two types of actions were prioritized: actions that seek to minimize the principal negative aspects diagnosed; and actions that aim to establish a sound technical and management base for carrying out the work and for decisionmaking, with intense public involvement, on the part of Basin institutions.

With respect to actions of the first type, the size, heterogeneity and complexity of the São Francisco River Basin means that it is impossible to treat the full scope of all of the problems facing the Basin at the same time. For this reason, the strategy adopted was to select areas for intervention and priority action under the SAP through the development of integrated activities that could not only lead to rapid and favorable outcomes in resolving the problems identified at specific locations where the direct interventions were carried out, but could also constitute easily replicated or adapted models for adoption in other areas.

The strategic actions foreseen in the SAP aim to make a lasting contribution to the integrated management of the São Francisco River Basin and its coastal zone, in accordance with the general guidelines of the National Water Resources Policy (PNRH) as expressed in Law 9.433/97.

The structure of the SAP and its basic components, in order to fulfill the goals set under the National Water Resources Policy and the GEF São Francisco Project, focused on the promotion of technical-institutional strengthening and participation of society as a whole. This could be consolidated through the implementation of a participative and stable water-resources management system, to which the SAP contributes. This system is the Integrated Water Resources Management System for the São Francisco Basin and its coastal zone, hereinafter referred to as Integrated Basin Management System (SIGRHI).

The SAP's contribution consists of the development and adaptation of the regulatory framework and technical and institutional instruments. This framework and these instruments have aided in developing a management database, in implementing the institutional instruments required under the Integrated Basin Management System, and in reinforcing institutional links, while also fulfilling the socio-institutional role of providing environmental training and education for its members and other parties involved.

At the same time, steps should be taken to ensure progress toward universal access to water supplies, sewage collection and treatment, and final disposal of solid waste with a view to fulfilling social-inclusion goals enunciated under the Multi-year Plan of Action (PPA) for 2004-2007. In addition, the critical decision making instruments to be deployed in the event of emergencies, with a view to protecting and defending local communities and users in emergencies, should be adopted in consonance with the aims of the National Water Resources Policy and the provisions of Law 9.433/97.

Another pressing issue is the potential for sustainable use of groundwater resources, found particularly in the Middle and Lower-middle São Francisco River Basin, through an assessment of their volume and quality, and of the knowledge available with respect to their potential for exploitation. Figure 5 shows the links between the SAP and the Basin Plan.

The SAP was structured with two major components, namely: contributions to the introduction of an Integrated Basin Management System and its array of management instruments; and contributions to the sustainable use of water resources and the restoration of environmental quality, as shown in Figure 6.

Strategic Actions under Component I – Implementation of the Integrated Water Resource Management System for the Basin and its Coastal Zone.

Strengthening of institutional relationships (I.1)

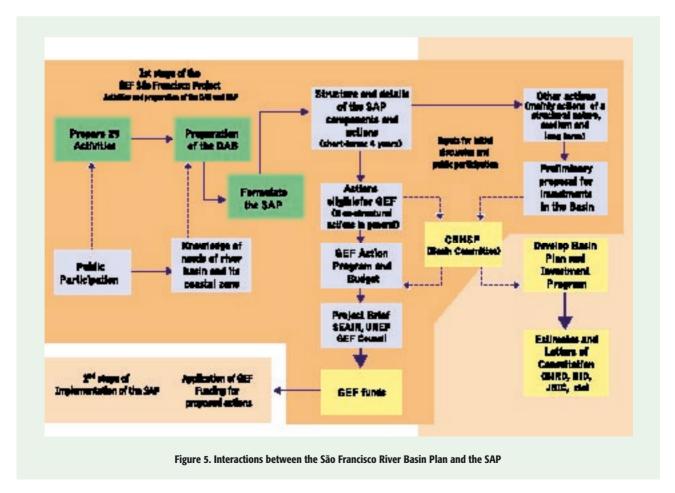
This Strategic Action is divided in two main activities:

I.1.1 Links between programs run by federalbodies and the water-resource and environmental management systems of federal, state and municipal governments, and other stakeholders in the Basin

This activity seeks to promote coordination between SAP activities and the actions of government and stakeholders involved in the São Francisco River Basin and its coastal zone, with a view to promoting the convergence of efforts and multiplication of results.

As an activity targeted at promoting integration and joint actions among federal, state and municipal programs, the expected actions and outcomes include:

 integration agreements between the states and the federal government to standardize management instruments;



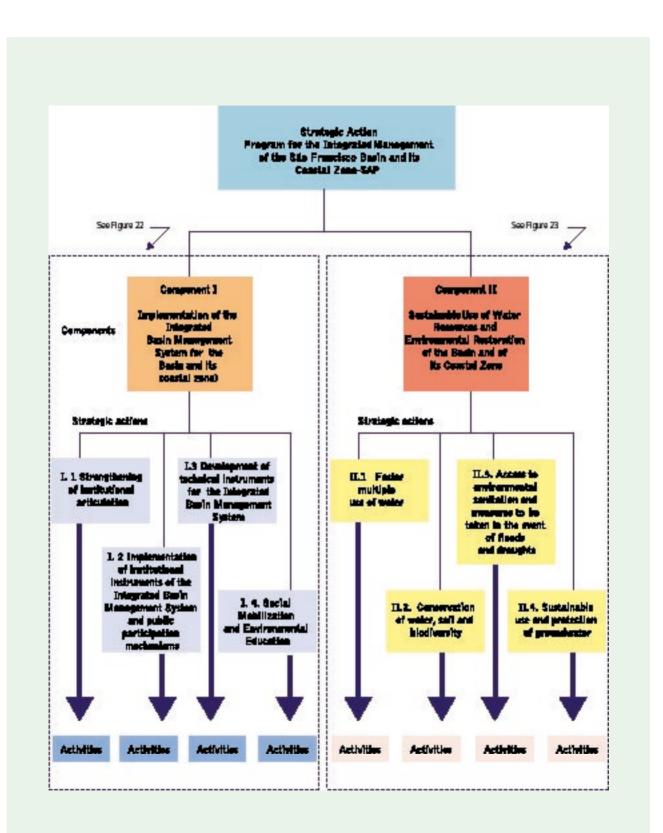


Figure 6. Structure of the SAP

- events to promote integration and coordination, involving those responsible for planned and ongoing initiatives, through discussions entailing participation from local and regional planners and parties responsible for preparing estimates, with scrutiny of the various components and outcomes carried out by each institution, and with emphasis on promoting convergence among the various proposals;
- preparation of an 'annual agenda of intentions,' encompassing the plans and targets of each institution for subsequent periods, and the drafting of a framework for identifying potential conflicts and points of convergence;
- setting of criteria and procedures to harmonize and match budgets and timelines for action among the various federal and state government institutions.

I.1.2. Support for the setting of licensing criteria, water-use charges, guidelines for management of conflicts, and definition of monitoring strategies.

This activity will support research designed to improve the regulatory framework in the São Francisco River Basin and its coastal zone.

The expected outcomes of this activity are:

- Establishment of licensing criteria;
- Establishment of conflict management strategies;
- Criteria for charges on the use of water resources.

Implementation of the Integrated Water Resources Management System's institutional instruments, training programs and public participation mechanisms (I.2)

This Strategic Action comprises two principal activities:

I.2.1. Support for the São Francisco River Basin Committee through implementation of the Basin Water Agency, and the establishment of an inter-institutional research network, state water-resource management systems, and provision of training for members of the Integrated Basin Management System This activity will follow up on the establishment of the Basin Committee by supporting the implementation of the Basin Water Agency as the executive body that will guarantee successful and effective functioning of the Committee, and by providing support for state water-resources management systems.

Expected outputs of this activity are:

- The Basin Agency
- Operational state water-resources management systems
- · Operational reservoir (açudes) users associations
- An inter-institutional research network
- Trained members of the Integrated Basin Management System

I.2.2. Support for the implementation of a planning system based on models providing for interaction between sectoral policies

One of the first activities will be the formulation of a Basin Plan, with a view to establishing procedures for the implementation of a planning system in the Basin, targeted at promoting interaction between sectoral investment policies for quantitative and qualitative water management in the São Francisco River Basin and its coastal zone. Periodic evaluations of development scenarios and proposals for measures for fostering development should be undertaken as an additional element of this process, with the aim of stimulating the conservation, preservation and sustainable utilization of water resources.

The expected outputs are:

- Integration agreements between the different governmental bodies, taking into account their roles and expected contributions to the functioning of the planning system;
- Strategies for a transition from the current situation in which Basin Plans are prepared under the direction of the National Water Agency (ANA) and the Basin Committee, to the establishment of the Basin Water Agency, and a review of the long-term investment goals presented in the SAP, since this is the best path to strengthening the Agency and enabling it to participate in the drafting

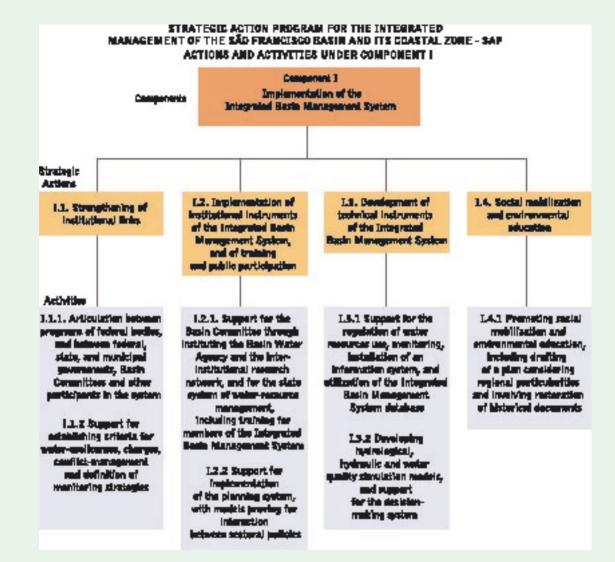


Figure 7. SAP - Actions for Component I

of state and federal Multi-year Action Plans (PPAs) and annual budgets;

- Amendments to the Bylaws of the Basin Water Agency, relating to aspects pertaining to its role in the planning area;
- A flow chart, illustrating linkages between sectoral plans and the Basin Plan, with a view to consolidating institutional coordination;
- An established planning horizon, stipulating execution schedules, periodic reviews and work methodologies, including the use of Strategic Environmental Evaluations applicable to policies, plans, programs and works in the São Francisco River Basin.

Development of technical instruments for the Integrated Basin Management System (I.3)

Two principal activities are recommended:

I.3.1. Support for the regularization of waterresource use, for monitoring and registering users, for implementation of an information system for the São Francisco River Basin and its coastal zone, and for the establishment of a database for the Integrated Basin Management System Among the objectives of this Activity are the registration of water-users in the Basin, with a view to regulating water-resource supply and demand, and preparing users for the eventual implementation of charging mechanisms, thereby aiding in the elaboration of water-resources management guidelines and licensing procedures, particularly in areas of real or potential conflict.

Expected outcomes include:

• Regularization of water-resource use

Evaluation of the current status of knowledge regarding water demand in the Basin (available registers) and the

sizing/planning of campaigns to ensure complete user registers, including: satellite imaging of irrigated areas; drafting of a manual defining a registration campaign methodology (based upon user declarations and/or census-based); standardizing registration procedures and data requirements for licensing and billing purposes in conjunction with other management bodies in the Basin; identifying partners, from both governmental and private entities, for mounting registration campaigns; creating a user registration system (customization of software, database and hardware); and drafting a personnel training program for user support.

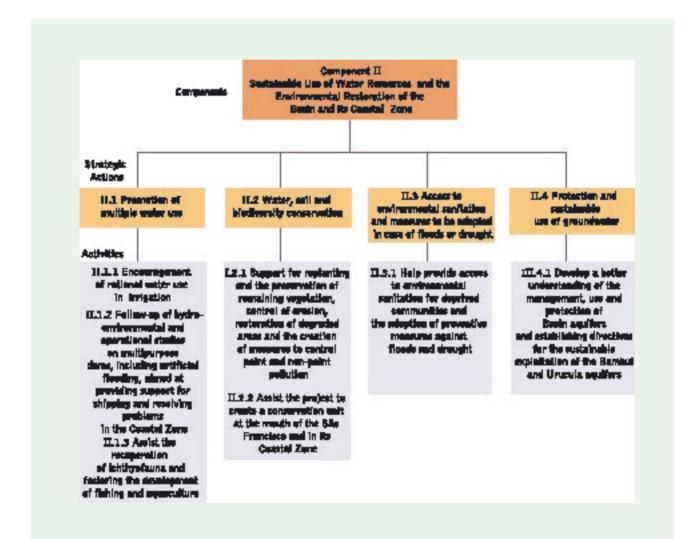


Figure 8. SAP – Actions for Component II

- Identification of water users in the Basin, quantifying water demand and availability to assist with decision making and the implementation of new undertakings; compiling a register of laboratories equipped to perform tests; analyzing the quality of river water; and disseminating the resulting information.
- The information system on water resources in the São Francisco River Basin and its coastal zone Consolidation and operationalization of a geo-referenced database, making information on water resources available using, inter alia, the Internet.
- The Integrated Basin Management System database
 - Land Use Mapping of the Upper and Middle São Francisco River Basins: completing the map of land use and settlement patterns in the entire São Francisco River Basin, in ArcView® format; and,
 - Rehabilitating and upgrading of the hydrometric and water-quality monitoring networks, resizing a joint network for the collection of hydrometeorologic and water-quality data: rescaling of a mixed system for the collection of hydrometerologic and water-quality data in line with specifications for

Grapes – São Francisco Valley

manned and automated measuring stations within an optimized operational capabilities of the network, and recording changes to be effected in the source program, written in Delphi, to adapt it for electronic-data reception and enable it to perform data-consistency analyses using an Oracle database. The resulting computer program will comprise the National Water Resource Information System, run by the National Water Agency (ANA), and interface with the ANA Geographical Information System (GIS). Finally, a third element will support implementation of a piezometric monitoring network, designed to provide more detailed knowledge on groundwater resources in the Verde Grande River Sub-basin (in Minas Gerais and Bahia) and expand the existing network in the Verde/Jacaré River Sub-basin.

I.3.2. Development of hydrologic, hydraulic and water-quality simulation models and of a decision-making support system

This activity entails the development of a support system for decision-making, including development



of simulation models for quantitative and qualitative analysis of the Basin's hydrologic condition and hydraulic structures (dams, canals, catchments, pumping facilities, diversions, etc.).

The following instruments should be drafted:

- Technical specifications, comprising the following simulation-model modules: (i) Database Module, (ii) Model Module, and (iii) Dialog Module;
- Manuals, including (i) User Manual, and (ii) Model Reference Manual, with numeric solutions and frameworks;
- A computer program for the Decision-making Support System (DSS).

Public Involvement and Environmental Education (I.4)

This Strategic Action is comprised of one principal activity:

I.4.1. Promotion of social mobilization and environmental education, including the drafting of a plan that respects regional differences, and the restoration of historical documents

Social mobilization occurs only when a group of individuals seeks to achieve common objectives. This, in turn, depends upon an awareness of the importance and publicspiritedness of the objectives. In order to mobilize public support for integrated and sustainable water-resource management, therefore, planned and coordinated public involvement must be promoted.

The envisaged outputs of this activity are:

- An Environmental Educational Plan for the São Francisco River Basin and its coastal zone;
- Courses, field days, and seminars, and the drafting of primers;
- Educational events, including convening a public water and environment week.

Promoting multiple forms of water use (II.1)

Three main activities are foreseen under this strategic action:

II.1.1. Support for rational water use in irrigation

This activity aims to promote the economic, social and environmental sustainability of irrigation systems by reducing water and energy losses, seeking to achieve maximum crop yields per unit of area based upon optimizing water use, and allowing the integration of irrigation technology and water-management instruments. To this end, it will be necessary to study replacement of existing irrigation methods, adoption of more water-efficient crops, and use of improved piping and distribution efficiency in irrigation districts.

The products envisaged are:

- A model and software for calculating water and irrigation needs, making use of regional and local data;
- A database on soils, climate, crops and irrigation-management, and detailing parameters for orienting licensing procedures;
- Courses disseminating information by means of workshops, seminars and technical publications.

II.1.2. Follow-up of hydro-environmental and operational studies on multipurpose utilization of dams, including the generation of artificial floods, with the aim of providing support for shipping and resolving problems in the Coastal Zone

This activity will keep members of the Integrated Basin Management System informed on the progress and partial results of inventory studies, economic-feasibility analyses and environmental studies on all dams under consideration, and assessments of their impacts on the Basin and its coastal zone, always from the standpoint of multiple water uses. The dams in question are those being considered by CHESF, CEMIG, CODEVASF and others, for specific or multiple uses, on the das Velhas, Paracatu, Urucuia, Jequitaí and São Francisco rivers. The products to be prepared to support the multi-purpose operation of dams and the occasional generation of artificial floods are:

- · Compendia of dam studies for decision-making purposes;
- Reports on the technical, economic and environmental feasibility of instigating artificial floods downstream from the Xingó Dam, considering aspects relating to their impact on the riparian population and the interconnected power system;
- Compendia of strategies for restoring shipping on the river.

II.1.3. Support for the rehabilitation of the ichthyofauna and fostering the development of fisheries and aquaculture

The outputs envisaged are:

- A database, continually updated, containing details on, inter alia, aquaculturists/fish-farmers, reservoirs [açudes], dams, water sources [aguadas] and suppliers of basic aquaculture inputs;
- Short courses on fish-breeding for fish-farmers and extension workers;
- Manuals for extension workers, covering such issues as fish breeding systems and processing techniques;
- Facilities for the production and distribution of fry, and the setting up of experimental facilities to investigate the reproduction of native fish species, larval fish rearing and fry rearing;
- Training schemes for fish-breeding in net tanks and in irrigation channels, using improved technologies.

Water, soil and biodiversity conservation (II.2)

Two main activities are recommended:

II.2.1. Support for the restoration and preservation of the remaining vegetation, control of erosion, restoration of degraded areas and measures to control specific sources of point and non-point pollution The aim of this activity is to promote measures to assist organizations that seek to restore and/or preserve the Basin's representative ecosystems, including the Atlantic Forest. The activity will provide support to federal, state and municipal government actions targeted at the restoration of native vegetation around headwaters, in aquifer recharge areas and around springs, and along river banks.

The envisaged outputs are:

- Maps of critical areas of deforestation and soil-loss in the São Francisco River Basin, at an appropriate scale;
- Land use, land management and remedial practices capable of stemming erosion, along with their proven socio-economic and environmental effectiveness;
- A workshop on legislation and erosion controls, including replanting and sustainable management of riparian vegetation and protection of areas identified for permanent preservation, involving organizations and stakeholders from the most critically affected regions;
- Primers on replanting and sustainable management of riparian vegetation and management of permanent preservation areas;
- A pilot project on sustainable agricultural and urban stormwater management practices, undertaking socioeconomic and environmental monitoring of the feasibility and replicability of the projects;
- An evaluation of critical areas of the Basin subject to nitrate- and phosphate-induced eutrophication;

II.2.2. Support to the creation of a conservation unit at the mouth of the São Francisco River and in its Coastal Zone

This activity will support the creation of a conservation unit characteristic of the region's bio-ecological system, while, at the same time, permitting identification and delineation of areas requiring permanent environmental protection and determination of the levels of protection needed. It will also permit assessment of water-exchange mechanisms, tidal-prisms and seawater intrusion volume ratios, estuarine sedimentation and sediment transport mechanisms, and nutrient concentrations and cycling in estuarine mangrove swamps, and identification of the distribution and yield of phytoplankton, zooplankton and mangrove species.

The envisaged outputs are:

- Demarcation of the Conservation Unit;
- Workshops for presentation of interim results and a seminar for dissemination of the final results, and a proposal for the implementation of a Conservation Unit at the mouth of the São Francisco River;
- A Management Plan for the Conservation Unit at the mouth the São Francisco River and in the coastal zone, including empirical estimates of sediment and nutrient loads and cycles;
- A proposal for the creation of an ecological corridor from the mouth of the São Francisco River to the Xingó Dam.

Access to environmental sanitation and measures to be taken in the event of floods or droughts (II.3)

This Strategic Activity is comprised of one main activity:

II.3.1. Cooperation for improving access to environmental sanitation for poor communities and the adoption of measures to manage floods and droughts

This activity will stimulate provision of environmental sanitation in municipalities in the São Francisco River Basin with less than 5,000 population, providing substantial economic, environmental and social benefits for the greater part of the population of the Basin.

The envisaged outputs are:

 A seminar, attended by representatives of government and of organized civil society, to establish criteria for the proposed actions and identify priority areas for their implementation;

- A diagnostic analysis, including economic evaluations and conceptual studies, on water-supply, sewage and solid-waste collection and disposal systems in the São Francisco River Basin;
- Improvements in environmental sanitation systems in priority municipalities;
- Action Plans for Drought and Flood Relief, entailing a series of workshops and seminars, with participation of the various stakeholders.

Sustainable use and protection of groundwater (II.4)

This strategic action envisages one principal activity:

II.4.1. Dissemination of knowledge on the management, utilization and protection of aquifers in the Basin, and establishment of guidelines for sustainable exploitation of the Bambuí and Urucuia aquifers

This activity aims to improve the knowledge base on the aquifers in the Basin, including the isolated sedimentary aquifers in the Lower-middle São Francisco River Basin. It also will result in preparation of guidelines for the integrated management, utilization and protection of groundwater resources of the Bambuí and Urucuia aquifers.

The envisaged outputs are:

- A diagnostic analysis of the current state of knowledge on the lithological and structural framework of the Basin's existing aquifers and the storage, circulation, extraction, quality and utilization of their waters;
- Delineated pilot areas, including the physical and geometric characterization of the aquifers, their hydraulic and hydrodynamic features, water uses and exploitation characteristics, and water-quality;
- A comprehensive evaluation and consolidation of knowledge and the establishment of guidelines for the sustainable use and protection of groundwater, especially from the Bambuí and Urucuia aquifers.

Implementation of the SAP

The purpose of the strategic actions planned under the Strategic Action Program (SAP) is to foster environmentally sustainable development within the São Francisco River Basin and its coastal zone. In order to implement such actions, investment programs of the Brazilian Federal Government and of the States that share the Basin should be taken into consideration.

Significant investments have been made and/or are scheduled to be made in this part of Brazil. Some of these projects are financed by such national agencies as CHESF and CODEVASF, whereas others may be co-financed by multinational agencies.

The alternative scenario consists of implementation of other actions needed to concomitantly usher in sustainable development in the São Francisco River Basin. These are the SAP activities that are crucial for achieving the global environmental benefits stemming from the mitigation of transboundary environmental problems that affect the coastal waters of the South West Atlantic Large Marine Ecosystem.

The costs of these actions include those related to sustainable development projects within the Basin and its coastal zone, over and above those identified in the routine environmental impact assessments and mitigation measures required to comply with federal and state environmental laws and regulations in Brazil.

These incremental implementation costs of the eight strategic actions encompassed within the SAP amount



to US\$ 9 million, proposed to be funded through future GEF investment, split into US\$ 4.3 million under Component I, and US\$ 4.7 million under Component II, in accordance with the allocation for each strategic action, as presented in Table 3. This amount is associated with the above-mentioned Brazilian investment of some US\$ 29.5 million over the four-year period. This raises the investment allocated to related activities in the Basin to US\$ 38.5 million over four years, as shown in Table 3.

The implementation period for all actions planned under the SAP is approximately four years, although not all individual activities begin or end at the same time. Each is to have its own schedule, depending upon their component actions, as shown in Tables 3 (Dates) and 4 (Disbursements).

Table 3 shows the investments in each of the seven principal activities that comprise the Strategic Actions under Component I, and in each of the seven principal activities of Component II.

The numbers of stakeholders and the intense public participation involved in the preparation of the GEF São Francisco Project were undoubtedly of great importance and, to a great extent, explain why the project was successful in reflecting a consensus as to the various concerns and needs of stakeholders throughout the Basin.

The partnerships for implementing SAP actions will be essentially the same as those involved in its formulation, with only a few slight variations in emphasis.

Table 3. Implementation schedule, monitoring and evaluation of the SAP													
					Y	'eai	r		Indicative	Total			
Strategic Actions	Main Activities		ar	Y	'eai	r Year		r `	Year		Cost in US\$	Cost	Cost
		1			2		3		4		004	GEF US\$	US\$
I. Implementation o	f the Integrated Basin Management System (SIGRHI)											4,300,000	4,570,000
	I.1.1. Networking among programs managed by federal agen-												
I.1. Strengthe-	cies and among entities responsible for water-resources and										100,000		
ning institutional	environment systems: the federal, state, and municipal govern-								100,000	200,000	250,000		
networking	ments and other stakeholders in the Basin.								\square			200/000	2007000
	I.1.2. Establishing licensing criteria, water use charges, protocols										100,000		
	for managing disputes and definition of monitoring strategies.		+	\square		+			++	\square			
I.2. Introduction	I.2.1. Supporting the Basin Committee through the institution												
of Integrated	of the Basin Water Agency, establishing an inter-institutional re-										1 100 000		
Basin Management											1,100,000		
	training and capacity-building for the members of the Integrated											1,500,000	1,570,000
instruments, public participation and	Basin Management System.		+	\mathbb{H}	+				++	+			
capacity-building	I.2.2. Implementing a planning system with modeling that allo-										400,000		
mechanisms	ws for interaction among sectoral policies.										400,000		
meenamisms	I.3.1. Regularizing and monitoring water-resources uses		+	++	+				++	+			
I.3. Development	and users, implementing an information system for the São												
of Integrated	Francisco River Basin and its coastal zone, and developing the										2,000,000		
Basin Management	Integrated Basin Management System database.											2,200,000	2,275,000
System technical	I.3.2. Developing hydrologic, hydraulic and water quality simu-		+	\square		+			$^{++}$	Η			
instruments	lation models, and a decision-making support system.										200,000		
	I.4.1. Fostering social mobilization, public involvement and			Π					Ħ	Π			
I.4. Social mobiliza-	environmental education, including the preparation of a Plan										400.000	400.000	475 000
tion and environ- mental education	that takes regional characteristics into account and includes the										400,000	400,000	475,000
	restoration of historical documents.												
II. Sustainable Use	of Water Resources and Environment Rehabilitation in the Basin											4,700,000	33,930,000
	II.1.1. Fostering policies for rational use of irrigation water.										900,000		
	II.1.2. Overseeing hydro-environmental studies for multiple use												
II.1. Promotion	dams and their operations in order to provide support for shippin	9									900,000		7,430,000
of multiple water	and mitigation of problems in the coastal zone, including the										900,000	2,200,000	
usage	generation of artificial floods.												
	II.1.3. Supporting the recovery of the ichthyofauna and the deve-										400,000		
	lopment of fisheries and aquaculture.										,		
	II.2.1. Supporting reforestation and preservation of remaining												
II.2. Conservation	vegetation, erosion control, recovery of degraded areas and mea-										500,000		
of water, soil and	sures for point and non-point pollution control.	++	+	\square	+	+	_		+	+		700,000	13,700,000
biodiversity	II.2.2. Establishing a conservation unit at the mouth of the São										200,000		
II.3. Access to envi-	Francisco River, in its coastal zone.		+	H						+			
ronmental sanitation													
and measures for	II.3.1. Providing access to environmental sanitation in poor com-										1,150,000	1,150,000	11,150,000
dealing with floods	munities, and preventive flooding and drought control measures										1,100,000	1,100,000	11,130,000
and droughts													
II.4. Sustainable	II.4.1. Fostering adequate knowledge for the management, use and			Ħ					\square				
use and protection	protection of aquifers in the Basin, and establishing guidelines for the										650,000	650,000	1,650,000
of groundwater	sustainable utilization of the Bambuí and Urucuia aquifers.										.,	.,	, ,,,,,,,
Total (I + II), US\$				-								9,000,000	38,500,00
												,,	,,

Table 4. Annual disbursement schedule for financial resources									
Components	Annual Expenditures Distribution (US\$ x 1,000)								
Components	Year 1	Year 2	Year 3	Year 4	Total				
Component I	670	2,325	1,155	150	4,300				
Component II	430	2,160	1,650	460	4,700				
Overall Total	1,100	4,485	2,805	610	9,000				
%	12.2%	49.8%	31.2%	6.8%	100%				

When identifying partners, the need to harmonize very diverse interests and public policies must be taken into consideration. There is also a need to incorporate the technical and managerial skills of entities that have data and information available, or the technical capacity to obtain them.

The scope of activities covered by the SAP is huge, and the number of Government actions and programs that may potentially relate to actions under the SAP is also extensive, involving various ministries and state-level entities that offer prospects for significant synergies with the SAP.

A more detailed examination of the PPA indicates that some 154 federal programs or actions have some form of interface with proposed SAP actions. These amount to a total of R\$ 9,166,824,860 (US\$ 3.06 billion) over four years. Some of this funding is earmarked for more general and comprehensive programs and actions to be undertaken throughout Brazil. Though at least a part of this funding will be targeted toward the São Francisco River Basin, on the basis of currently available data, the amounts of allocations associated with these programs to be targeted specifically toward the Basin can not be determined.

Of these 154 PPA programs and actions, 67 can be categorized as clearly applicable to the São Francisco River Basin. These 67 programs and actions total R\$ 2,866,218,563 (US\$ 955.4 million) over four years. However, of this funding, a major portion is earmarked for implementation or management transfers of irrigation projects (R\$ 333,040,734 or US\$ 111 million), and for studies, projects and engineering works associated with integrating the São Francisco River with other river basins in Northeast Brazil (R\$ 1,928,000,000

or US\$ 642.7 million). There are 23 actions that are more directly related to the revitalization of the Basin and water supply, totaling R\$ 605.2 million (US\$ 201.7 million) over a four-year period.

The Brazilian projects identified by the National Water Agency (ANA) as being most directly in line with GEF goals (Table 5) are selected from this set of 23 programs, and account for R\$ 88.5 million (US\$ 29.5 million), representing an average of R\$ 22.1 million (US\$ 7.37 million) per year, to be disbursed under the 2004-2007 Multi-year Action Plan.

In parallel, CHESF expects to make major investments in the São Francisco River Basin over the four-year period. Indeed, its plans to make use of hydroelectric potential at Sobradinho-Itaparica, alone, amount to some R\$ 3,000,000,000 (US\$ 1 billion).

Other proposals, put forth by CHESF, amounting to R\$ 12,000,000 (US\$ 4 million), merit mention:

- Implementation of a real-time geo-referenced water resources-system between Morpará and the São Francisco River mouth;
- Restoration of riparian areas degraded by urban settlement or alterations in the flow of the São Francisco River;
- Survey of water uses and registration of water users between Morpará and the river mouth;
- Expansion and adaptation of sewage treatment systems in towns relocated after displacement by the creation of reservoirs;
- Feasibility studies on the generation of artificial floods designed to restore morphological and environmental conditions within the main river channel;

Table 5. Selected PPA Programs with links to the SAP						
PPA Program 2004-2007	Action	In Charge	Value 2004-2007			
1305. Revitalization of	3429. Revitalization and rehabilitation of the	MI	R\$ 289.5 million			
river basins in vulnerable	São Francisco River	IVII	US\$ 96.5 million			
situations and subject to	101P. Rehabilitation and preservation of the	MMA	R\$ 10.3 million			
	São Francisco River Basin	WIWA	US\$ 3.4 million			
environmental degra- dation	5472. Rehabilitation of soils and control of	CODEVASF	R\$ 70.0 million			
uation	erosion in the São Francisco River Basin	GUDEVASE	US\$ 23.3 million			
0229. São Francisco	5859. Restoration of the shipping channel on	MT	R\$ 25.0 million			
Corridor	the São Francisco River Waterway		US\$ 8.3 million			
1304. Water conserva-	3042. Integrated Management of onshore		R\$ 0.8 million*			
tion, rational use and	activities in the São Francisco River Basin	MMA/ANA	US\$ 0.27 million			
quality	(in partnership with GEF)		039 0.27 mmon			

 \ast Includes only GEF São Francisco Project coordination spending.

- Reforestation of degraded areas and restoration of riparian forests;
- Restoration of ichthyofauna in the Lower-middle and Lower São Francisco River, including expansion of the capacity of the Fisheries Station at Paulo Afonso for producing fry of native-species for repopulating the river and reservoirs.

In the area of research and development, during 2004, CHESF is obliged by law to disburse some R\$ 40,000,000 (US\$ 13.3 million), of which half is to be invested directly by the company, and the other half credited to the Ministry of Science and Technology's National Science and Technology Development Fund (FDNCT/MCT). A significant proportion of these funds may be allocated to projects in the São Francisco River Basin.

The states that share the São Francisco River Basin also organize a series of programs. Although no definitive version of the state-level PPAs for the 2004-2007 period had been published or approved by their respective Legislative Assemblies at the time of publication, a summary of available information from states whose jurisdictions include lands within the São Francisco River Basin is presented in Table 6.

In terms of the amounts proposed in the state PPAs, it should be noted that a significant portion of these funds stems from federal transfers and, consequently, is already accounted for under the federal government programs. However, because the data supplied by most of the states do not stipulate the sources of this funding, it is difficult to correctly estimate the allocation of state-funded investments planned for the Basin. Moreover, substantial proportions of the investments are earmarked for waterresources infrastructure that may not necessarily directly relate to the revitalization of the Basin or, consequently, be convergent with the actions set forth in the SAP. Notwithstanding, Table 6 indicates that sizable investments are planned for the Basin by the riparian States.

• PPA 2004-2007 - State of Minas Gerais

Information provided by the Minas Gerais State Planning and Management Information System presents a range of actions which interface with the implementa-

Table 6. Investments foreseen in the São Francisco River Basin, by state, 2004-2007 State PPAs *								
STATE	R\$	US\$ (approximate)						
Alagoas	477,758,000	159,252,667						
Bahia	213,538,000	71,179,333						
Federal District	264,074,000	88,024,667						
Minas Gerais	563,231,000	187,743,667						
Pernambuco	9,070,000	3,023,333						
Sergipe	219,668,000	73,222,667						
Goiás	(**)	(**)						
Total	1.747.339.000	582,446,333						

(*) = In most cases, these sums include Federal transfers of funds.

(**) = No sizable investments planned for the Basin.

_ . . . _ _

tion of the SAP in the São Francisco River Basin. Its principal component, Code 0172, is: Revitalization and sustainable development program for the São Francisco River Basin, targeted at ensuring the sustainability of anthropogenic activities in the Basin, listing high-priority actions designed to restore, conserve and preserve the environment, as well as enhance the quality and quantity of water supplies, and upgrade quality of life in the region.

Actions under this program, that have an interface with the SAP, are listed in Table 7, and total R\$ 58,386,661 (US\$ 19.5 million) for 2004, and R\$ 563,231,000 (US\$ 187.7 million) for the 2004-2007 period.

Table 7. PPA 2004-2007 for Minas Gerais		
Actions planned under the Revitalization and Sustainable Development	D¢	
Program of the São Francisco River Basin (0172)	R\$	US\$ (approximate)
(P261) Technical and economic feasibility studies for implementation of		
a waterway in the São Francisco River Basin and its tributaries, in Minas	30,000,000	10,000,000
Gerais.		
(P338) Environmental education.	4,000,000	1,333,333
(P345) Generation, dissemination and transfer of knowledge and technology.	21,247,000	7,082,333
(P449) Implementation of engineering works.	210,000,000	70,000,000
(P577) Management of biodiversity and expansion of forestry in the São	7,000,000	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
Francisco River Basin.	7,000,000	2,333,333
(P632) Land management.	10,250,000	3,416,667
(P659) Water quality management and monitoring of water pollution.	63,360,000	21,120,000
(P661) Water resource management.	30,030,000	10,010,000
(P718) Integrated sub-basin management.	40,000,000	13,333,333
(P904) Control of sales and use of pesticides.	3,900,000	1,300,000
(P910) Building of biodigesters.	3,000,000	1,000,000
(P932) Treatment of urban effluents.	48,000,000	16,000,000
(P941) Handling solid and liquid wastes produced by farming and livestock.	8,100,000	2,700,000
(P445) Restoration of vegetation cover.	50,000,000	16,666,667
(P664) Support for the establishment of Basin Committees and Basin	28,444,000	9,481,333
Agencies.	20,444,000	7,481,555
(P672) Expansion of the forestry base in the São Francisco River Basin.	4,500,000	1,500,000
(P733) Consulting services for the River Basin Technical Councils.	1,400,000	466,667
Total	563,231,000	187,743,667



Aerial view of the Xingó Dam

Table 8. PPA 2004-2007 for Alagoas		
Planned Actions (Implementing Agency)	R\$	US\$ (approximate)
Strengthening of the São Francisco River Basin Committee (SEMA-RHN).	120,000	40,000
Environmental prevention, protection and restoration (SEMARHN/IMA).	90,000	30,000
Environmental education and capacity-building (SEMARHN).	161,000	53.667
Sertão canal (SEINFRA).	312,037,000	104,012,333
Small water-conservation projects in the semi-arid area and Sertão drylands (SEMARHN).	1,750,000	583,333
Drawing up of soil, water and vegetation management and conservation plans (SEMARHN).	1,000	333
Training of technical staff for combating desertification (SEMARHN).	26,000	8,667
Installation of sewage collection systems - São Francisco River Basin (SEINFRA).	163,573,000	54,524,333
Total	477,758,000	159,252,667

• PPA 2004-2007 for Alagoas

The principal programs of the State of Alagoas are listed in Table 8, and amount to R\$ 477,758,000 (US\$ 159.2 million) for the 2004-2007 period.

• PPA 2004-2007 for Pernambuco

The principal programs of the State of Pernambuco are listed in Table 9, and amount to R\$ 2,830,000 million

(US\$ 0.94 million) for 2004 and R\$ 9,070,000 (US\$ 3.0 million) for the 2004-2007 period.

• PPA 2004-2007 for Bahia

The principal water resources development programs for the State of Bahia are related to expanding the water supply systems and building of water mains to service the semiarid region. These projects and actions comprise invest-

Table 9. PPA 2004-2007 for Pernambuco		
Planned Actions (Implementing Agency)	R\$	US\$
Review/update Water-Resource Master Plans for tributary river basins of the São Francisco River.	2,400,000	800,000
Prepare an integrated water resource usage plan for tributary river basins of the São Francisco River (PARH, São Francisco).	1,300,000	433,333
Integrated hydro-meteorological network project for tributary river basins of the São Francisco River.	900,000	300,000
Support for the establishment of the Pontal, Garças, Brígida and Terra Nova River Basin Committees, restructuring of the Moxotó and Pajeú River Basin Committees, and establishing Water Users Associations.	1,970,000	656,667
Hydro-geological studies of the Araripe, Jatobá, Cedro, Mirandiba and Tupanaci sedimentary basins.	2,500,000	833,333
Total	9,070,000	3,023,333

ments of some \$ 73,198,000 (US\$ 24.4 million) for 2004 and R\$ 213,538,000 (US\$ 71.2 million) for the 2004-2007 period, as shown in Table 10 below.

Table 10 DDA 2004 2007 for Pabia

• PPA 2004-2007 for Sergipe

Similar to Bahia, the principal water resource programs of the State of Sergipe relate to water supply, and the

Table 10. PPA 2004-2007 for Bahia		
Planned Actions (Implementing Agency)	R\$	US\$ (approximate)
Program 204: `Sanitation is Life': expansion and upgrading of the quality of service;		
Project 3919: Expansion of water supplies to the semi-arid region;	114,700,000	38,233,333
Proágua/Activity 1529: Expanding water supply systems in the Northeast.		
Idem, Activity 1758: Building water mains.	50,000,000	16,666,667
Idem, Activity 1844: Development of institutional programs.	800,000	266,667
Program 223: 'Look to nature';	10 600 000	6 522 222
Project 3505: Water resources management (PGRH).	19,600,000	6,533,333
Program 218: `Fruits of the Earth';		
Project 3917: Water Resources Management Infrastructure (PGRH)	1,400,000	466,667
PGRH/Activity 2109: Dam operations in the Northeast.		
Program 222: 'Reviving our Waters' and 'Renaissance of Earth';		
Project 3363: Replanting riparian forests;	235,000	78,333
Activity 1655: Technical Assistance for farmers on planting riparian forests.		
Idem, Activity 2178: Replanting riparian forests (SFC).	1,321,000	440,333
Idem, Project 3629: Environmental sanitation of river basins;	3,679,000	1 226 222
Activity 2552: Recovery of degraded areas in river basin in the Northeast.	5,079,000	1,226,333
Idem, Activity 2552: Restoring degraded areas in river basins.	3,681,000	1,227,000
Idem, Project 3646: Sustainable, integrated development of lands around the		
Sobradinho Lake;	2,860,000	953,333
Activity 1871: Preparation of a study and project for the lands surrounding Sobradinho Lake.		
Idem, Activity 2093: Environmental monitoring around the Sobradinho Lake.	4,840,000	1,613,333
Idem, Activity 2472: Community development for environmental and health education.	4,230,000	1,410,000
Idem, Project 3743: Revitalization of the São Francisco River Basin;	286.000	05 222
Activity 1829: Development of educational activities through community mobilization.	286,000	95,333
Idem, Activity 2171: Drawing up an agreement on the restoration of riparian forests (SFC).	286,000	95,333
Idem, Activity 2178: Restoration of riparian forests (SFC).	2,010,000	670,000
Idem, Project 3896: Combating desertification;		
Activity 1873: Drawing up a plan for preventing and combating desertification in the	660,000	220,000
Northeast region (SPA).		
Idem, Activity 3896: Preparation of a plan for preventing and combating desertifica-	440,000	146,667
tion in the Lower-middle São Francisco River region (SPA).	110,000	110,007
Program 226: Environmental awareness;		
Project 3654: Environmental Education;	1,830,000	610,000
Activity 2171: Agreement on capacity-building for extension agents in the São Francis-	_,,	
co River Basin.		
Program 234: Public administration models; Project 3656: Institutional strengthening		
for entities within the State Environment and Water Resources System;	680,000	226,667
Activity 1565: Support for the Water Resources and Environment Councils with a view		-,
to strengthening these bodies.		
Total	213,538,000	71,179,333

building of water mains and irrigation projects in the semi-arid region. Together, these programs amount to R\$

219,668,000 million (US\$ 73.2 million) for the 2004-2007 period, as shown in Table 11.

Table 11. PPA 2004-2007 for Sergipe		
Planned Actions	R\$	US\$ (approximate)
Integrated Solid Waste Systems for the Districts in the Costa dos Coqueirais Complex	11,928,000	3,976,000
Urbanization of the Salomé Lagoon, Cedro de São João	1,325,000	441,667
Jacaré-Curituba Project	22,000,000	7,333,333
Xingó Canal	90,000,000	30,000,000
Supplementary studies of water availability in river basins in Sergipe	6,000*	2,000
Support for organization of bulk water users in the river basins of the State	6,000*	2,000
Revitalization of river basins	100,000*	33,333
Support for River Basin Committees	6,000*	2,000
'Our Rivers Program': São Francisco	200,000	66,667
Preparation of a Master Plan for the São Francisco River Basin, Sergipe Section	40,000	13,333
Supplementary social and economic feasibility studies for upgrading, automating and exten- ding the integrated water mains systems at Alto Sertão and Sertaneja	50,000	16,667
Preparation of the State water infrastructure rehabilitation plan	6,000*	2,000
State water resource management capacity-building plan	50,000*	16,667
Implementation of the State water resources information system	50,000*	16,667
Decentralized action in support of water resources management by citizens	50,000*	16,667
Implementation of the water-quality and hydro-meteorological network in Sergipe	84,000*	28,000
Updating and supplementing the Sergipe State Cartographic Base at a scale of 1:100,000	84,000*	28,000
Preparation of the Digital Atlas on Water Resources	9,000*	3,000
Upgrading, automating and expanding integrated water mains systems at Alto Sertão and Sertaneja	75,000,000	25,000,000
Preparation of Ecological and Economic Zoning for Sergipe	30,000*	10,000
Recovery of degraded areas and riparian forests in the Jacaré and Cadoz River sub-basins	632,000	210,667
Expanding integrated water mains systems at Alto Sertão and Sertaneja	8,637,000	2,879,000
Replacing the water mains system of the São Francisco River	7,621,000	2,540,333
Purchase of equipment for operating system automation	656,000*	218,667
Boring and establishing wells	123,000*	41,000
Establishing irrigation perimeters	500,000*	166,667
Maintaining irrigation perimeters	475,000*	158,333
Total	219,668,000	73,222,667

(*) sums estimated for investment in the São Francisco River Basin.

• PPA 2004-2007 for the Federal District

The principal PPA programs for the Federal District are listed in Table 12. These programs amount to some R\$ 264, 074,000 million (US\$ 88 million) for the 2004-2007 period.

• PPA 2004-2007 for Goiás

There are no significant investments planned in Goiás for the areas within the São Francisco River Basin.

All planning must be accompanied by caveats since forecasting the future is fraught with hazards. In the face of such uncertainties, planners must be bold in their reliance upon projections, while having the prudence to monitor the results over time so as to enable adjustments to be made as the course of events may justify over time.

There follows a list of the major threats to the sustainability of the SAP:

• Inter-institutional competition;

- Dependence upon sector-specific economic performance;
- Poorly defined institutional and legal frameworks in the sanitation sector;
- Awakening environmental awareness;
- Insufficient resources;
- Dispersion of actions;
- Cultural resistance to water-resource policy instruments.

The Sustainability of the Project, however, is to be ensured by means of:

- Public Involvement;
- A sound legal and institutional framework;
- The Basin Committee.

To this end, specific actions are envisioned to achieve this sustainability:

• Technical aspects, such as the information systems, licensing criteria, billing systems and the classifica-

Planned Actions	R\$	US\$ (approximate)
Water Resource Management	265,000	88,333
City 21 Program	50,000	16,667
Establishment of the District Water Agency	375,000	125,000
Restoration of degraded areas	246,750,000	82,250,000
District Environmental Protection Plan	8,625,000	2,875,000
Federal District Ecotourism Project	30,000	10,000
Procurement of equipment for strengthening water resource and environmental licensing, oversight and inspections	100,000	33,333
Strengthening of the Water Resource Management Policy	6,625,000	2,208,333
Strengthening and restructuring of the water resource and environmental licensing, oversight and inspection systems	100,000	33,333
Implementation of the water source protection program	150,000	50,000
Implementation of the River Basin Restoration and Stewardship Program	50,000	16,667
Reforestation with native plants	7,000	2,333
Modernization of the hydro-meteorological network in the Federal District	255,000	85,000
Mapping of ecosystems	7,000	2,333
Environmental Information System	525,000	175,000
Maintenance of the Water Resources and Environmental Information System, and the geo- referenced database	60,000	20,000
Ecological and economic zoning	100,000	33,333
Total	264,074,000	88,024,667

tion, planning and priority ratings for actions and investments.

- Environmental aspects, such as the adoption of rational water use; the recovery of degraded areas; the development of sustainable models for utilizing the natural resources of the Basin; establishment of legally protected areas; pollution controls; consolidation of public awareness of environmental issues relating to water resources; and the rational use of estuarine water resources with a view to improving living conditions of the population.
- Economic aspects, such as proposed actions to ensure more efficient water use; implementation of instruments designed to heighten awareness of the economic value of water; adoption of licensing criteria that prioritize the most efficient allocations; improved conditions for the subsistence farmers and inclusion of remote populations in the economy by providing them with opportunities to market their produce; reduced shipping costs through development of waterways, thereby making products originating in the Basin more competitive; and creation of new income generation

opportunities through the development of ecotourism.

- Social aspects, such as upgrading the living standards of urban and rural communities, and ensuring improvements in health and income; enhancing the ability of remote communities to survive droughts; assuring the population's safety in relation to floods; and promoting grassroots participation in the decisions on investment priorities in the Basin.
- Financial aspects, such as the multiplying effect expected to be achieved through the development of production models tailored to the Basin, increasing production of goods, services and income.

For monitoring and evaluation, it should be born in mind that, in Brazil, the National Water Agency (ANA) is to be the national executing agency for the SAP and is responsible for its technical quality and, alongside other national institutions, is responsible for ensuring that its objectives are attained. At the multilateral level, UNEP, as the GEF implementing agency, in cooperation with the OAS, as UNEP's executing agency, is responsible for ensuring the development of the Project in line with GEF requirements.



photo: Codevas

Selected bibliography



- ANA/GEF/PNUMA/OEA. Projeto de Gerenciamento Integrado das Atividades Desenvolvidas em Terra na Bacia do São Francisco – Programa de Ações Estratégicas para o Gerenciamento Integrado da Bacia do Rio São Francisco e da sua Zona Costeira: Relatório Final. Brasília-DF, March 2004.
- ANA/GEF/PNUMA/OEA. Estudo hidrodinâmicosedimentológico do Baixo São Francisco, estuário e zona costeira adjacente-AL/SE, UFAL. Maceió-AL, March 2003.
- ANA/GEF/PNUMA/OEA. Determinação da carga de nutrientes do São Francisco na região da foz e o impacto das cheias artificiais no seu controle, UFAL. Maceió-AL, April 2003.
- ANA/GEF/PNUMA/OEA. Avaliação da contribuição da navegação no rio São Francisco ao incremento da competitividade da agricultura na Bacia, DNIT. Brasília-DF, fevereiro 2003.
- ANA/GEF/PNUMA/OEA. Avaliação das interferências ambientais da mineração nos recursos hídricos da bacia do Alto Rio das Velhas-MG, IGAM. Belo Horizonte-MG, November 2001.
- ANA/GEF/PNUMA/OEA. Recomposição da ictiofauna reofílica do Baixo São Francisco, Canindé do São Francisco-AL. Instituto Xingó, April 2003.
- ANA/GEF/PNUMA/OEA. Desenvolvimento de um sistema de monitoramento de qualidade de água no Submédio do rio São Francisco: Índice de Sustentabilidade Ambiental do uso da Água-ISA – Água, Embrapa. Jaguariúna-SP, December 2002.
- ANA/GEF/PNUMA/OEA. Impacto da agricultura nos recursos hídricos subterrâneos no rio Verde/Jacaré-BA, UFBA. Salvador-BA. March 2003.

- ANA/GEF/PNUMA/OEA. Determinação do uso da terra na bacia do São Francisco – Baixo; Submédio e Alto, Codevasf. Brasília-DF. January 2002.
- ANA/GEF/PNUMA/OEA. Parceria para a melhoria da qualidade das águas do ribeirão São Pedro do Oeste-MG, CBRP. São Sebastião do Oeste-MG, November 2002.
- ANA/GEF/PNUMA/OEA. Parceria comunidade–governo e participação popular como instrumento de recuperação de matas ciliares e conservação ambiental, ASF. Luz-MG, November 2002.
- ANA/GEF/PNUMA/OEA. Análise multitemporal da dinâmica de alteração da conformação do leito do rio São Francisco-Trecho Médio-BA, Codevasf. Brasília-DF, November 2002.
- ANA/GEF/PNUMA/OEA. Estudo do processo erosivo das margens do Baixo São Francisco e seus efeitos na dinâmica de sedimentação do Rio, UFS. Aracaju-SE, April 2003.
- ANA/GEF/PNUMA/OEA. Estudo de viabilidade de implementação de agência de bacia na sub-bacia do rio Maranhão-MG, IGAM. Belo Horizonte-MG, fevereiro 2003.
- ANA/GEF/PNUMA/OEA. Uso conjunto das águas superficiais e subterrâneas da sub-bacia do rio das Fêmeas-BA – SRH/BA. Salvador-BA, January 2003.
- ANA/GEF/PNUMA/OEA. Gestão participativa de recursos hídricos em Pernambuco: experiência do açude Jazigo e do sistema de perenização do riacho Pontal, SRH/PE. Recife-PE, December 2002.
- ANA/GEF/PNUMA/OEA. Plano de gerenciamento integrado da bacia do rio Salitre, UFBA. Salvador-BA, January 2003.
- ANA/GEF/PNUMA/OEA. O processo de criação do

Comitê da Bacia Hidrográfica do São Francisco: relato e avaliação de aspectos metodológicos, normativos e contextuais, ANA. Brasília-DF, March 2003.

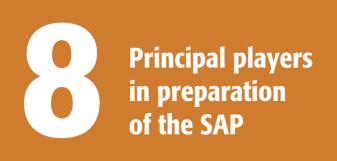
- ANA/GEF/PNUMA/OEA. Elaboração da página eletrônica para o Comitê da Bacia Hidrográfica do Rio São Francisco, ANA. Brasília-DF, March 2003.
- ANA/GEF/PNUMA/OEA. VI Simpósio Regional do Nordeste de Recursos Hídricos, ABRH. Maceió-AL, April 2003.

ANA/GEF/PNUMA/OEA. Cartilha – O rio São Francisco, Codevasf. Brasília-DF, 2002.

- ANA/GEF/PNUMA/OEA. Proposta para implementação de cobrança pelo uso da água na bacia do rio São Francisco. Brasília-DF, December de 2003.
- ANA/GEF/PNUMA/OEA. Avaliação de instrumentos econômicos para o gerenciamento sustentável dos recursos hídricos na sub-bacia do Verde Grande-MG/ BA, ANA/FAHMA. Brasília-DF, December 2002.
- ANA/GEF/PNUMA/OEA. Proposta para suplementação da cobrança pelo uso da água na bacia do rio São Francisco – ANA. Brasília-DF, May 2003.
- ANA/GEF/PNUMA/OEA. Quantificação e análise da eficiência do uso da água pelo setor agrícola na bacia do rio São Francisco, UFV. Viçosa-MG, January 2003.
- ANA/GEF/PNUMA/OEA. Diagnóstico analítico da bacia do rio São Francisco e da sua zona costeira – DAB. Versão preliminar do Relatório Final. Brasília-DF, June de 2003.
- ANA/GEF/PNUMA/OEA. Determinação de políticas operacionais para os principais reservatórios da bacia do rio São Francisco e de sua zona costeira, ANA. São Paulo-SP, November 2002.
- ANA/GEF/PNUMA/OEA. Eventos de participação pública de subsídio à preparação do Programa de Ações Estratégicas para o Gerenciamento Integrado da Bacia do Rio São Francisco e da sua Zona Costeira – PAE. Brasília-DF, October de 2003.
- ANA/GEF/PNUMA/OEA. Proposta de uma rede de monitoramento piezométrico na sub-bacia do rio Verde Grande, ANA. Belo Horizonte-MG, September 2002.
 ANA/GEF/PNUMA/OEA. Rede de Informações da

bacia do rio São Francisco – RISF, ANA. Brasília-DF, March 2003

- ANA/GEF/PNUMA/OEA. Proposta para implementação da cobrança pelo uso da água na bacia do rio São Francisco, ANA. Brasília-DF, 2003.
- ANA/GEF/PNUMA/OEA. Desenvolvimento e implantação do sistema de informações gerenciais do Projeto GEF, ANA. Brasília- DF, May 2003.
- ANA/GEF/PNUMA/OEA. Proposta para implementação da cobrança pelo uso da água na bacia do São Francisco. Brasília-DF, August 2003.
- UNEP/OAS. Project Document, Integrated Management of Land-based Activities in the São Francisco Basin, GF/1100-99-14. Washington, DC, September 21, 1999.
- ANA. Evolução da organização e implementação da gestão de bacias no Brasil. Brasília-DF, October de 2002.
- DUDA, A.M. Adressing Global Environment Issues Through a Comprehensive Approach to Water Resources Management – Perspectives from the São Francisco and Plata Basin. GEF, Washington, DC, 1997.
- KELMAN, J. Gerenciamento de recursos hídricos: outorga e cobrança. Rio de January: UFRJ/COPPE, 1997.
- CODEVASF/OEA. Plano Diretor para o desenvolvimento do vale do São Francisco – PLANVASF. Relatório Final. Brasília-DF, 1989.
- SENADO FEDERAL. Comissão de acompanhamento do Projeto de Revitalização do Rio São Francisco, Relatório Final. Brasília-DF, 2002.
- US BUREAU OF RECLAMATION. Reconhecimento dos recursos hídricos e de solos da bacia do rio São Francisco, SUVALE. Rio de January-RJ, 1970, 5v.
- UNESCO. Regulated River Basins: A Review of Hydrological Aspects for Operational Management by the Working Group on IHP – II Project A.22 Edited by T. Kitson. Case Study, São Francisco River Basin by Nelson da Franca Ribeiro dos Anjos, Paris, 1984.
- UNESCO/CPRM/DNPM. Mapa hidrogeológico de América del Sur. Texto explicativo. Coordenador-geral – Nelson da Franca Ribeiro dos Anjos. Brasília-DF, 1989.





Below is a listing of institutions that participated in public events during the SAP preparation process.

8.1. Organismos go	vernamentais e não governamentais envolvidos no Proj	ieto	
8.1.1. Federal Gove			
ANA	National Water Agency	ME	Ministry of Education
ANEEL	National Electric Energy Agency	MI	Ministry of National Integration
CEEIVASF	Executive Committee for Integrated Studies of the São	MMA	Ministry of Environment
	Francisco Valley		
CEFET	Federal Center for Technological Education	MME	Ministry of Mines and Energy
CHESF	Hydroelectric Company of the São Francisco	MP	Office of the Public Prosecutor
CNRH	National Water Resources Council	MPOG	Ministry of Planning, Budget and Management
CODEVASF	São Francisco and Parnaíba Valley Development	MT	Ministry of Transport
	Company		
CPATSA	Tropical Semi-arid Farming and Livestock Research Center	ONS	National Operator of the Electric System
CPRM	Mineral Resources Research Company	PROÁGUA	Program for Sustainable Development of Brazil's
			Semi-arid areas
DNIT	National Department of Transport Infrastructure	SRH-MMA	Secretariat of Water Resources of the Ministry of
			Environment
DNOCS	National Department of Works for Combating Drought	SUDENE	Superintendency for Development of the Northeast
EB	Brazilian Army	UFAL	Federal University of Alagoas
EMBRAPA	Brazilian Agricultural Research Corporation	UFBA	Federal University of Bahia
FNMA	National Environment Fund	UFF	Fluminense Federal University
FNS	National Health Foundation	UFG	Federal University of Goiás
FUNAI	National Indian Foundation	UFMG	Federal University of Minas Gerais
FURNAS	Furnas Centrais Elétricas S.A.	UFOP	Federal University of Ouro Preto
GERCO	Coastal Management	UFPB	Federal University of Paraíba
IBAMA	Brazilian Institute of Environment and Renewable	UFPE	Federal University of Pernambuco
	Natural Resources		
INCRA	National Institute of Colonization and Land Reform	UFRN	Federal University of Rio Grande do Norte
INMET	National Meteorology Institute	UFS	Federal University of Sergipe
JF	Federal Courts	UFV	Federal University of Viçosa
MA	Ministry of the Air Force	UnB	University of Brasília
MAPA	Ministry of Agriculture, Livestock and Supply	UNICAMP	University of Campinas
8.1.2. State Bodies			
Alagoas			
Ass. Leg. Alagoas	Legislature of Alagoas	NMRH	Center for Meteorology and Water Resources
CASAL	Water Supply and Sanitation Company of Alagoas	PM	Military Police of Alagoas
CEAL	Energy Company of Alagoas	SAAE	Autonomous Water and Sewage Services of Alagoas
DHM	Hydrometeorology Board of Alagoas	SAI	Secretariat of Agriculture and Irrigation of Alagoas
EPEAL	Farming and Livestock Research Enterprise of Alagoas	SEMARHN	Executive Secretariat of Environment, Water and
			Natural Resources
IMA	Environment Institute of Alagoas	SPDA	Secretariat of Planning and Development of Alagoas

Continue...

continued			
Bahia			
ADAB	State Agricultural Defense Agency	SAAE	Autonomous Water and Sewage Services of Bahia
Bahia Legislature		SEAGRI	Secretariat of Agriculture, Irrigation and Land
			Reform of Bahia
CERB	Rural Engineering Company of Bahia	SEFAZ	State Secretariat of Finance of Bahia
CODEBA	Bahia State Docks Company	SEIBA	Superintendency of Economic and Social Studies of Bahia
COELBA	Electricity Company of Bahia	SEINFRA	State Secretariat of Infrastructure of Bahia
CRA	Environmental Resources Center of Bahia	SEMARH	Secretariat of Environment and Water Resources of Bahia
CRB	Regional Council of Biology	SEPLANTEC	Secretariat of Planning Science and Technology of Bahia
DERBA	Highway Department of Bahia	SESAB	Secretariat of Health of Bahia
DIB	Irrigation District of Bahia	SFEBA	State Secretariat of Finance of Bahia
EBDA	Bahia Agricultural Development Company	SIRBA	Superintendency of Irrigation of Bahia
EMBASA	Bahia Water and Sanitation Company	SRH	Secretariat of Water Resources of Bahia
FAMESF	Faculty of Agronomy of the Middle São Francisco	UEB	State University of Bahia
ICA	Cocoa Institute of Bahia	UEFS	State University of Feira de Santana
PA	Environmental Police of Bahia	UNEB	University of the State of Bahia
PM	Military Police of Bahia		
Distrito Federal			
CAESB	Brasília Sanitation Company	SEMARH	Secretariat of Environment and Water Resources o
			the Federal District
DLFMA	Environmental Licensing Control and Monitoring Board		
	of the Federal District		
Goiás			
SEMARH	Secretariat of Environment and Water Resources of Goiás	SRH	Secretariat of Water Resources of Goiás
Minas Gerais	Secretariat of Environment and Water Resources of dolas	51(11	Secretariat of Water Resources of Golds
CEMIG	Minas Gerais Energy Company	IGAM	Minas Gerais Water Management Institute
CEPEMG	Center for Education Studies and Research of Minas Gerais	INDI	Minas Gerais Industrial Development Institute
CERH	State Water Resources Committee of Minas Gerais	INESP	Minas Gerais Higher Learning and Research Institute
CETEC	Technological Center Foundation of Minas Gerais	PMMA	Military Environmental Police
COPAM	State Council of Environmental Policy of Minas Gerais	PM	Military Police of Minas Gerais
COPASA		PPNL	Office of the Public Prosecutor of Nova Lima
CREDINOR	Minas Gerais Sanitation Company Rural Credit Cooperative of the North of Minas Gerais	PPOP	Office of the Public Prosecutor of Nova Linia
CRH	Minas Gerais State Water Resources Council	PRODEMGE	State Data Processing of Minis Gerais
			Minas Gerais Rural Foundation for Colonization
EMATER	Rural Technical Assistance Company of Minas Gerais	RURALMINAS	
EDANAIO		0445	Agrarian Development
EPAMIG	Minas Gerais Agricultural Research Company	SAAE	Autonomous Water and Sewage Services
			of Minas Gerais
FASF	Faculty of Philosophy, Sciences and Letters of the Up-	SEEF	State Superintendency of Finance of Minas Gerais
	per São Francisco		
FEAM	State Environment Foundation of Minas Gerais	SEMAD	Secretariat of Environment and Sustainable Devel-
			opment of Minas Gerais
FEP	Polytechnic School Foundation	SETASCAD	Secretariat of Labor, Association for Children and
			Adolescents
FRANAVE	São Francisco Navigation Company	SUDECOOP	Superintendence of Development and Cooperation
IEF-MG	State Forests Institute of Minas Gerais	UEMG	State University of Minas Gerais
Pernambuco			
COMPESA	Pernambuco Sanitation Company	ITEP	Technological Institute of Pernambuco
COMTRAP	Airport Operations Company	SECTMA	Secretariat of Sciences, Technology and Environ-
			ment of Pernambuco
CPRH	Pernambuco Water Resources Company	SRH-PE	Secretariat of Water Resources of Pernambuco
EBAPE	Supply and Development Company of Pernambuco		
São Paulo			
USP	University of São Paulo		

Continued...

Continued.		
continued.	٠	•

Sergipe				
ADEMA	State Environment Administration of Sergipe	MEB	Grassroots Education Movement of Sergipe	
CEDA	Environmental Law Committee of Aracaju	SEDU	Secretariat of Education of Sergipe	
CEFET	Federal Center for Technological Education of Sergipe	SEES	State Secretariat of Education of Sergipe	
CREA	Regional Council of Engineering, Architecture and	SEMA	Special Secretariat of Environment of Sergipe	
	Agronomy of Sergipe			
DESO	Sanitation Company of Sergipe	SEPLANTEC	Secretariat of Planning, Science and	
			Technology of Sergipe	
ENERGIPE	Energy Company of Sergipe	SRH-SE	Superintendence of Water Resources of Sergipe	
FAPESE	Support for Research and Extension Foundation of Sergipe	TAMAR	Tamar Project	
FS	Faculty of Sergipe	UNIT	Tiradentes University	
8.1.3 Municipal E		1 -		
Alagoas				
PM-AL	Military Police of Alagoas	Municipal Adminis	stration of Penedo	
	stration of Belo Monte		stration of Piaçabuçu	
	stration of Feliz Deserto		stration of Piranhas	
•	stration of Pão de Açúcar	SAAEPA	Autonomuos Water and Sewage Services of	
Municipal Autimi		JAALIA	Pão de Açúcar	
Bahia			Fau de Açucar	
			a tha tha an Ghada a Talaca a da Mara a dhiñe a	
Municipal Chamb			stration of Luiz Eduardo Magalhães	
DIBS	Distribuition of Irrigation Barreiras do Sul		stration of Miguel Calmon	
EAC	Agricultural School of Correntina		stration of Mirangaba	
EAM	Agricultural School of Macaúbas	Municipal Administration of Morro do Chapéu		
EFAJ	Family Farming School of Jaboticaba	Municipal Administration of Niansivão		
	stration of Ariranha Azul		stration of Ourolândia	
	stration of Campo Formoso	Municipal Administration of Paulo Afonso		
	stration of Carmo do Cajurú	Municipal Administration of Piratinga		
· · ·	stration of Correntina		stration of Rio Pires	
	stration of Cristópolis		stration of Santa Brígida	
· · ·	stration of Curaçá		stration of São Desidério	
	stration of Ibotirama		stration of Sento Sé	
· · ·	stration of Itacarambi		stration of Umburanas	
•	stration of Jacobina		stration of Várzea Nova	
•	stration of Jaguarari	SAAEJ	Autonomous Water and Sewage Services of Juazeir	
· · ·	stration of Juazeiro			
Minas Gerais				
ADLUZ	Development Agency of Luz	Municipal Adminis	stration of Luz	
CCRGT	Rural Community Councils of Guarita and Teixeira	Municipal Adminis	stration of Montes Claros	
CDAR	Regional Action and Development Company	Municipal Adminis	stration of Ouro Branco	
CEPALUZ	Agricultural Production Cooperative of Luz	Municipal Adminis	stration of Pará de Minas	
CIBAPAR	Inter-municipal Consortium of the Paraopeba River Basin	Municipal Adminis	stration of Pirapora	
CMDR	Municipal Rural Development Council of	Municipal Adminis	stration of Pitangui	
	São Sebastião do Oeste			
CMI	Municipal Chamber of Itabirito	Municipal Administration of São Gonçalo do Abaeté		
CMNL	Municipal Chamber of Nova Lima	Municipal Administration of São João Lagoa		
CMR	Municipal Chamber of Raposos	Municipal Administration of São Roque of Minas		
CMRA	Municipal Chamber of Rio Acima	Municipal Adminis	stration of São S. do Oeste	
CODEMA	Municipal Council for Environmental	Municipal Adminis	stration of Serra Talhada	
	Defense and Development			
COPASA	Luz Office	Municipal Adminis	stration of Tiradentes	
DIJ	Jaiba Irrigation District		stration of Três Marias	
DIPCP	Contiguiba/Pindoba Irrigation District	RET	Tripuí Ecological Reserve	
	Municipal Environment Foundation	SAAEB	Autonomous Water and Sewage Services of Bocaiúva	

a 1		
Continued.	•	•

Education Foundation of Divinópolis	SAAEIT	Autonomous Water and Sewage Services of Itabirito
Municipal Foundation for Cultural Action and Training	SAAEP	Autonomous Water and Sewage Services of Pirapora
Forestry Police of Nova Lima	SMDEI	Municipal Secretariat of Economic Development of Itabirito
tration of Bom Despacho	SMMA	Municipal Secretariat of Environment of Ouro Preto
		Municipal Secretariat of Environment of Itabirito
· · · · · · · · · · · · · · · · · · ·		Municipal Secretariat of Environment of Luz
		Municipal Secretariat of Environment of Nova Lima
		Municipal Secretariat of Environment of Raposos
•		Municipal Secretariat of Environment of Rio Acima
	SODAEOP	Secretariat of Works/Department of Water and Sewage of Ouro Preto
ration of Lagoa da Prata	SODAERA	Secretariat of Works/Department of Water and Sewage of Rio Acima
tration of Lagoa Grande		
Education Bureau do Araripe	Municipal Administra	ation of Poco Redondo
· · · · · · · · · · · · · · · · · · ·		ation of Porto da Folha
		ation of Santa Maria da Boa Vista
Municipal Urbanization Company	Municipal Administra	ation of Neópolis
		•
-		ation of Porto da Folha
· · · · · · · · · · · · · · · · · · ·	Municipal Administration of São Francisco	
· · · · · · · · · · · · · · · · · · ·		Autonomous Water and Sewage Services of Capela
The Nature Conservancy	WFT	World Fisheries Trust
Brazilian Groundwater Association	FUNCATE	Science Foundation, Applications and Special Technologies
Brazilian Irrigation and Drainage Association	FUNDIFRAN	Foundation for the Integrated Development of the São Francisco
Brazilian Water Resources Association		
	I	
Community Association of Canela	CPAL	Fishing Colonies of Alagoas
· · ·	-	Farmers Cooperative of Penedo
		Federation of Fishermen of the State of Alagoas
		Fundação Teotônio Vilela
		Extension Research and Development Foundation
Neighborhood Association of Ponta Mortina		
Neighborhood Association of Ponta Morfina		
Neighborhood Association of Vale do Boaca	Marina Velho Chico	nciation
Neighborhood Association of Vale do Boaca Fisherman's Associations of Alagoas	Marina Velho Chico OLHA O CHICO Asso	
Neighborhood Association of Vale do Boaca Fisherman's Associations of Alagoas Resources Training Association	Marina Velho Chico OLHA O CHICO Asso STRPA	ociation Union of Rural Workers of Pão de Açúcar
Neighborhood Association of Vale do Boaca Fisherman's Associations of Alagoas Resources Training Association Farmers Association	Marina Velho Chico OLHA O CHICO Asso STRPA Z19 Fishing Colony	
Neighborhood Association of Vale do BoacaFisherman's Associations of AlagoasResources Training AssociationFarmers AssociationAssociation of Rural Workers of MarieziroState Center of Associations of Settlers and Small	Marina Velho Chico OLHA O CHICO Asso STRPA	
Neighborhood Association of Vale do BoacaFisherman's Associations of AlagoasResources Training AssociationFarmers AssociationAssociation of Rural Workers of MarieziroState Center of Associations of Settlers and SmallFarmers of Alagoas	Marina Velho Chico OLHA O CHICO Asso STRPA Z19 Fishing Colony Z2 Fishing Colony Z27 Fishing Colony	
Neighborhood Association of Vale do BoacaFisherman's Associations of AlagoasResources Training AssociationFarmers AssociationAssociation of Rural Workers of MarieziroState Center of Associations of Settlers and SmallFarmers of AlagoasSmall Farmers Cooperative Community Seed Banks	Marina Velho Chico OLHA O CHICO Asso STRPA Z19 Fishing Colony Z2 Fishing Colony	
Neighborhood Association of Vale do BoacaFisherman's Associations of AlagoasResources Training AssociationFarmers AssociationAssociation of Rural Workers of MarieziroState Center of Associations of Settlers and SmallFarmers of Alagoas	Marina Velho Chico OLHA O CHICO Asso STRPA Z19 Fishing Colony Z2 Fishing Colony Z27 Fishing Colony	
Neighborhood Association of Vale do Boaca Fisherman's Associations of Alagoas Resources Training Association Farmers Association Association of Rural Workers of Marieziro State Center of Associations of Settlers and Small Farmers of Alagoas Small Farmers Cooperative Community Seed Banks Casa de Penedo	Marina Velho Chico OLHA O CHICO Asso STRPA Z19 Fishing Colony Z2 Fishing Colony Z27 Fishing Colony Z41 Fishing Colony	Union of Rural Workers of Pão de Açúcar
Neighborhood Association of Vale do BoacaFisherman's Associations of AlagoasResources Training AssociationFarmers AssociationAssociation of Rural Workers of MarieziroState Center of Associations of Settlers and SmallFarmers of AlagoasSmall Farmers Cooperative Community Seed Banks	Marina Velho Chico OLHA O CHICO Asso STRPA Z19 Fishing Colony Z2 Fishing Colony Z27 Fishing Colony	Union of Rural Workers of Pão de Açúcar
	Municipal Foundation for Cultural Action and Training Forestry Police of Nova Lima tration of Bom Despacho tration of Capitão Enéas tration of Conselheiro Lafaiete tration of Conselheiro Lafaiete tration of Itaúna tration of Itaúna tration of Lagoa da Prata tration of Lagoa Grande Education Bureau do Araripe Peoples Council of Petrolina tration of Petrolina Municipal Urbanization Company tration of Aracaju tration of Brejo Grande Tration of Itaúa S Flores nental bodies and professional associations Brazilian Irrigation and Drainage Association Brazilian Water Resources Association Munity Association of Capela Association of Conessionaires of the Marituba Project Community Association of Senhor do Bonfim	Municipal Foundation for Cultural Action and Training SAAEP Forestry Police of Nova Lima SMDEI tration of Bom Despacho SMMA tration of Capitão Enéas SMMAI tration of Conselheiro Lafaiete SMMAR tration of Divinópolis SMMAR tration of Lagoa da Prata SODAEOP tration of Lagoa da Prata SODAERA tration of Lagoa Grande Tration of Petrolina Municipal Administra Municipal Administra tration of Petrolina Municipal Administra tration of Petrolina Municipal Administra tration of Acacaju Municipal Administra tration of Petrolina Municipal Administra tration of Aracaju Municipal Administra tration of Aracaju Municipal Administra tration of Aracaju Municipal Administra tration of Capela Municipal Administra tration of Capela Municipal Administra tration of Sande Municipal Administra tration of Sande Municipal Administra tration of Ilha das Flores SAAECAP mental bodies and professional associations FUNCATE

Continued			
ACAFLC	Community Association of Farmers and Families of	Association of Nonac	olonha
ACRF	Lagoa Clara Community Association for Land Reform of Serra Azul	Association of Serra	Dourada
AIA	Industry and Agronomy Association of Xique-Xique	Association of Utinga	
AIBA	Irrigated Farming Association of Western Bahia	AUSO	United Association of Santo Onofre
AJEB	Young Entrepreneurs Association of Barreiras	САВ	Center for Associations of Barreiras
AMINA	Friends of Nature Association of Barreiras and the sur-	CDL	Shop Managers Chamber
AMINA	rounding Region	ODE	Shop Managers chamber
AMPPRVI	Association of Mini and Small Farmers of Vale do Itaguari	СРВА	Fishing Colonies of Bahia
AMVBE	Neighborhood Association of Vila Boa Esperança	FUNDIFRAN	Integrated Development Foundation of the São
	Neighbornood / issociation of tha Doa Esperança	1 ONDIT TO THE	Francisco
APLB	Retired Teachers Association of Bahia	FONASC	National Forum of Civil Society Committees of Bahia
APMSF	Fishermen's Association of Muquem do São Francisco	PT Worker's Party –	Bahia
APRSS	Farmer's Association of Sento Sé	SEMMARH	Municipal Secretariat of Environment and
			Water Resources
APSB	Fishermen's Association of Saco de Boi	SPR	Union of Farmers
AQMBV	Quilomba Association of Mangal Barro Vermelho	STC	Union of Workers of Coríbe
ASPAVARG	Professional Fishermen's Association of Friends of the	STR	Union of Rural Workers
	Rio Grande Valley		
Minas Gerais			
AAASF	Environmental Association of the Upper São Francisco	FAEMG	State Federation of Agriculture of Minas Gerais
ABANORTE	Central Fruit Farmers Association of the North of	FETAEMG	Federation of Agricultural Workers of Minas Gerais
	Minas Gerais		
ABESA-MG	Brazilian Association of Sanitation and Environmental	FIEMG	Federation of Industries of the State of Minas Gerais
	Engineering of Minas Gerais		
ABID	Brazilian Irrigation and Drainage Association	FJP	Fundação João Pinheiro
ABMG	Biologists Association of Minas Gerais	FOBES	Ouro Preto Social Welfare Foundation
ACAL	Community Association of Water Limpa	FPA	Federation of Traditional Fishermen
ACBOV	Community Association of Bairro Ouro Velho	FPEMG	State Federation of Fishermen of Minas Gerais
ACJC	Community Association of Jardim Canadá	FPP	Federation of Professional Fishermen of Minas Gerais
ACSB	Community Association of São Bartolomeu	Foundação Biodivers	itas
ACT	Tangará Condominium Association	Foundação Gorceix	
ADESA	Environmental Development Association	GARRA	Environmental Movement
AEAP	Agronomists Association of Paracatu	IMAN	Instituto Manoel Novaes
AMAMC	Association of Friends of the Environment of	Instituto Guaicuy – S	OS Rio das Velhas
	Morro do Chapéu		
AMDA	Minas Gerais Association for Defense of the Environment	IRPAA	Regional Institute for Appropriate Small Farming
			Techniques
AMMVI	Municipal Association of the Vale do Itaparica Micro Region	MANUELZÃO Projeto	
AMOVILE	Neighborhood Association of Ville de Montagne	MCA	Movement for Citizenship and Waters
AMPA	Environmental Preservation Association of Mingú	MEL	Free Ecological Movement
ASF	São Francisco Association	MEST	Seiva da Terra Ecological Movement
ASSEMAE	National Association of Municipal Sanitation Services	MSA	Alternative Society Movement
ATPPRBG	Small Farmers and Rural Workers Association	MVP	Paracatu Green Movement
	of Buriti Grande		
BE	Ecological Brigade	OAB-MG	Brazilian Bar Association – Ouro Branco-MG
СВНРА	Pará River Basin Committee	PROMUTUCA	Association for Environmental Preservation of
			Vale do Mutuca
CBHRS	Salitre River Basin Committee	SIGMNM	Union of Gypsum and Non-Metallic Materials
			Industries
CBHVG	Verde Grande River Basin Committee	SINDIEXTRA	Union Extractive Industries
CCA	Canto das Waters Condominium	SINDRP	Rural Union of Pirapora
CODEMA	Municipal Council for Environmental		
	Conservation and Defense	SPRLUZ	Sindicato Patronal Rural de Luz

ECONSULT

Empresa Consult de Engenharia Ambiental Ltda.

Continued			
COMPCANA	Cana Protection Committee	UAI	Environmental Union of Itabirito
CPMG	Fishing Colonies of Minas Gerais	UAVS	United Associations of Irrigation Users of
			Vale do Salitre
СРТМ	Fishing Colony of Três Marias		
Pernambuco			
ABRH-PE	Brazilian Water Resources Association of Pernambuco	DSCAS	Diaconia Civil Society and Social Action
ACPRJ	Commercial Farmers Association of Jiló	FPP	Federation of Fishermen of Pernambuco
ADMA	Association for Defense of the Environment	PCH	Association of Representatives of Small
			Hydroelectric Plants
ADSQ	Development Association of Santa Quitéria	PV	Green Party – Petrolina
WATERVALE	Environmental Guardians Association of the	STRJ	Union of Rural Workers of Juazeiro
	São Francisco Valley		
ARFB	Barra Rural Finance Association	UAMP	United Municipal Associations of Pernambuco
CBG	Garça River Basin Committee		
Sergipe			
AGROECO	Agro-ecological	Xingó	Institute Scientific and Technological Developmen
			Institute of Xingo
APS .	Fishermen's Association of Saúde	LCI	Lions Club International
Comunidade Sarar	nem	MOPEC	Popular Ecological Movement
СРМАВ	Fishing Colony of the Municipality of Areia Branca	MPV	Movimento Pensar Verde
DRSF	Forum for Defense of the São Francisco	0AB-SE	Bar Association Brazil – Sergipe
FJN	Foundação Joaquim Nabuco	SSABSFCT	Socio-Environmental Society of the Lower São
			Francisco – Canoa da Tolda
3.1.5. Private and	State Companies		
State Companies			
PETROBRAS	Petróleo Brasileiro S.A.	VALE	Companhia Vale do Rio Doce
Bahia		1	
AGENCY 5	Agency 5 Arte and Multimídia	HIDROBASA	Hydrometric Institute of Bahia
AGOL	Agropecuaria Grande Oeste Ltda.	HIDROCON	Hidrogeólogos Consultores
Ibotirama Fisherm	nen's Cooperative	HIDROLOG	Serviços de Perfilagem Ltda.
CURTUME	Curtume Moderno S.A.	MAUERBERG	Poços Artesianos Ltda. – Tube Wells
DR	Diário da Região	TCF	Turismo Campo Formoso
Federal District			
DM	Diário dos Municipios	JFMA	Jornal Folha do Meio Ambiente
GNL	Administração de Negócios Ltda.	TDA	TDA Desenho e Arte
KHON	Administração e Tecnologia		
Minas Gerais			
ACA	Água Consultores Associados	FRUTIVALE	Frutivale
AÇOMINAS	Aços Minas Gerais	FTN	Fazenda Terra Nova
4FA	América Frutas e Alimentos S.A.	GA	Golder Associates
\HRSF	Administration da Hidrovia do Rio São Francisco	GAIA	Consultoria Ambiental
ANGLOGOLD	Mineration Morro Velho Ltda.	IMNE	Italmagnésio – Nordeste
BB	Balsa Britânica	LEME	Engineering Ltda.
BEMIL Ltda.	Bemil Ltda.	LIASA	Ligas de Alumínio S.A.
CAD	Cooperativa Agropecuária de Divinópolis	MAGNESITA	Magnesita S.A.
00	Curtume Campelo	MBR	Minerações Brasileiras Reunidas
CI	Chuvatel Irrigação	MORGAN	Cerâmica Morgan
CM	Curtume Moderno	MPMM	Minas Pérola Mármore de Minas Ltda.
СММ	Companhia Mineira of Metais	MRV	Mineração Rio Verde
CNC	Casa Nobre Consultoria	PCEAI	Pedras Congonhas Exportação Arte e Indústria Ltda
CONEMAL	Conemal Ltda.	PEDOGEO	Empresa Pedogeo de Consultores Associados Ltda
CONSUB	Consub SG	PROPEL	Propel Ltda.
COOPADAP	Cooperativa Agropecuária do Alto Parnaíba	TIMCI	Topázio Imperial Mineração Comércio
COOPERC	Connerativa Agrícola do Traigação o do Ducisto do Coustante		e Indústria Ltda.
	Cooperativa Agrícola de Irrigação e do Projeto de Ceraíma	TRANZDUARTE	Empresa Tranzduarte Ltda.

TV CEMIG

TV Cemig

Continued				
ECOPLAN/	Consórcio ECOPLAN/MAGMA/CAB	UL	Usina Luciana	
MAGMA/CAB				
EHIL	Estância Hidromineral Itabirito Ltda.	VALEÉ S.A.	Valeé S.A.	
FAHMA	Planejamento e Engenharia Agrícola Ltda.	VM	Visão Mundial	
FORTECO	Forteco S.A.	VOTORANTIM	Grupo Votorantim Metais	
Pernambuco				
VE	Vale Export			
Sergipe		!		
ODEBRECHT	Construtora Odebrecht			
8.2. São Francisco	River Basin Participative Water Resources Management E	Board		
CBHSF	São Francisco River Basin Committee			
8.3. Overseas Insti	tutions			
LAVAL	University – Canada	Universidad Jaume I	– Spain	
UCAL	University of California -USA	Universidad del País	Basco – Spain	
8.4. International	Institutions and Agencies			
GEF	Global Environmental Facility	PNUMA – UNEP	United Nations Environment Programme	
OAS	Organization of American States	IWRN/RIRH	Inter-American Water Resources Network	
Project coordinat	ion and management			
	de Águas – ANA – National Water Agency. http://www.ana.	gov.br		
Jerson Kelman. Ma	naging Director of ANA Phone: (55-61) 445.5441, Fax:	Benedito Braga. Dire	ector of ANA. Phone: (55-61) 445.5431, Fax: (55-	
	. E-mail: kelman@ana.gov.br	61) 445.5415. E-ma	ail: benbraga@ana.gov.br	
	a Neto. Superintendent of Programs and Projects. National			
Coordinator of the	GEF São Francisco and GEF Pantanal/Alto Paraguai			
Projects. Phone: (5	55-61) 445.5221. Fax (55-61) 445-5296. E-mail:			
paulovarella@ana.g	gov.br			
GEF – Global Envi	ronmental Facility. http://www.gefweb.org	1		
Alfred Duda. Senior Advisor. GEF International Waters, 1818 H Street NW,		Andrea Merla. Prog	ram Manager. GEF International Waters. 1818 H	
Washington D.C., 20433, USA. (1-202) 458-8198/473-1077; (1-202) 522-		Street NW, Washing	ton DC, 20433, USA. (1-202) 458-8198; (1-202)	
3240. E-mail: aduda@thegef.org		522-3240. E-mail: a	Imerla@thegef.org	
	ations Environment Programme. http://www.unep.org/	1		
	eck. Task Manager – GEF/UNEP Projects. P.O. Box 30552,			
Nairobi, Kenya. Ph	one: (254-2) 62-4339.4028; Fax.: (254-2) 62-2798.3943.			
	nderbeck@unep.org			
0AS – Organizatio	n of American States. http://www.oas.org	1		
Thomas Scott Vau	ghn – Director – Unit for Sustainable Development and		beiro dos Anjos. Coordinator for International	
-	MA/OAS1889 S street, NW, Washington, D.C. 20006-	Projects in Brazil. Principal Water Resources Specialist – UDSMA/OAS.		
4499, USA		Phone: (55-61) 322	.7895; (55-61) 224.2861; Fax.: (55-61) 224.6902.	
		E-mail: nelsonf@cod		
Jorge Rucks.Head	of Geographic Area II, Latin America. Phone: (54-11)		pecialist of the Unit for Sustainable Development and	
,	54-11) 4801.6092. E-mail: oea@oea.com.ar		(5255) 5280-1208. E-mail: mstesanova@prodigy.net.mx	
Members of Exec	utive Board of the São Francisco River Basin Committe			
José Carlos Carvall	ho. President. Phone: (31) 3298.6581; (31) 3298.6351.		veira de Vasconcellos – Bahia. Phone: (77) 613.2022;	
	@cbhsaofrancisco.org.br		ordinator of the Consultative Chamber of the Middle	
			n. E-mail: camaradomedio@cbhsaofrancisco.org.br	
Jorge Khoury Heda	aye. Vice-President. Phone: (71) 370.3804; (71) 370.3805.		Recife, Pernanbuco. Coordinator of the Consulta-	
	nte@cbhsaofrancisco.org.br		Lower-middle São Francisco Region. Phone: (81)	
			camaradosubmedio@cbhsaofrancisco.org.br	
Luiz Carlos da Silv	eira Fontes. Executive Secretary. Phone: (79) 212.6406.		a Pinto – Maceió, Alagoas. Coordinator of the	
	Ochsaofrancisco.org.br	Consultative Chambe	er of the Lower São Francisco Region. Phone: (82)	
		315.2680. E-mail: c	amaradobaixo@cbhsaofrancisco.org.br	
	Faria – Belo Horizonte, Minas Gerais. Coordinator of			
the Consultative Ch	namber of the Upper São Francisco Region. Phone: (31)			
3299.4877 (31) 3	275.3061 (31) 9619.8150.			

Annex



ACTIVITIES

In preparing the proposal for the Project for Integrated Management of Land-based Activities in the São Francisco River Basin, the Secretariat Water Resources of the Ministry of Environment (SRH/MMA), the Organization of American States (OAS) and the United Nations Environment Programme (UNEP) conducted broad-based public consultations to identify the principal problems of the Basin and its coastal zone, and to solicit proposals for project activities. Various proposals were presented, debated and classified into four components. The various activities listed in the table below were carried out in the 2000-2003 period.

Executive Summaries of the Final Reports of the GEF São Francisco Project Activities are available in Portuguese and English on the site of the National Water Agency – ANA: http://www.ana.gov.br/gefsf/.



Headwaters of the São Francisco River

Table 13. Activities of the Project Components

Component I. Environmental Assessment of the São Francisco River Basin and its Coastal Zone

Upper São Francisco

- Environmental Effects of Mining Activities on the Water Resources of the Upper das Velhas River Basin-MG (Activity 1.2)
- Determination of Land Use in the Upper São Francisco River Basin (Activity 2.1)

Middle São Francisco

- Multi-temporal Analysis of Riverbed Shape Alteration Dynamics in the Middle São Francisco -BA (Activity 2.2C)
- Conjunctive Use of Surface and Ground Waters in the Fêmeas River Basin-BA (Activity 3.2)

Lower-middle São Francisco

- Determination of Land Use in the Lower-middle São Francisco River Basin (Activity 2.1)
- Development of a Water Quality Monitoring System in the Lower-middle São Francisco -BA/PE (Activity 1.4)
- Impact of Agriculture on Groundwater Resources in the Verde/Jacaré River Basins-BA (Activity 1.5)

Lower São Francisco and its Coastal Zone

- Hydrodynamic and Sediment Studies of the Lower São Francisco, its Estuary and Adjacent Coastal Zone-AL/SE (Activity 1.1A)
- Riverbank Erosion Evaluation Studies and the Effects of Riverbank Erosion on Sedimentation Dynamics-AL/SE (Activity 2.4)
- Determination of Estuarine Nutrient and Sediment Loads in the Region of the Mouth of the São Francisco River (Activity 1.1B)
- Restoration of Rheophilic Ichthyofauna of the Lower São Francisco-AL/SE (Activity 1.3)
- Determination of Land Use in the Lower São Francisco (Activity 2.1)

Component II – Public and Stakeholder Participation

- Fostering Public Participation in the São Francisco River Basin (Activity 4.1)
- Partnership for Improvement of Water Quality in São Pedro Stream-MG (Activity 2.2A)
- Recovering Our Forests A Pilot Project in the Municipality of Luz-MG (Activity 2.2B)
- Training for the Participatory Management of Water Resources and Environmental Education -PE (Activity 3.3A)

Component III – Development of the Organizational Framework

- Support for the Creation of the São Francisco River Basin Committee (Activity 3.4) and Support to the Strengthening of the São Francisco River Basin Integration Committee (Activity 3.5)
- Pilot Study for the Implementation of a Basin Water Agency in the Sub-basin of the Maranhão River -MG (Activity 3.1)

• Integrated Management Plan for the Salitre River Basin -BA (Activity 3.3B)

Component IV - Formulation of the Strategic Action Program for the Integrated Management of the São Francisco River Basin (SAP)

- Evaluation of the Contribution of Shipping to the Competitiveness of Agriculture in the São Francisco River Basin (Activity 1.1C)
- Evaluation of Economic Instruments for the Sustainable Management of Water Resources in the Verde Grande Sub-basin -MG/BA (Activity 4.2A)
- Quantification and Assessment of the Efficiency of Agricultural Water Use in the São Francisco River Basin (Activity 4.3)
- Formulation of Operational Policies for the Principal Reservoirs of the São Francisco River Basin (Activity 4.4)
- Diagnostic Analysis and Proposal for Expanding the Hydro-Meteorological Network of São Francisco River Basin (Activity 4.7A)
- Proposal for a Piezometric Monitoring Network in the Verde Grande River Sub-basin -MG (Activity 4.7B)
- Development of a Metadata-based Reference Information System (Activity 4.7C)

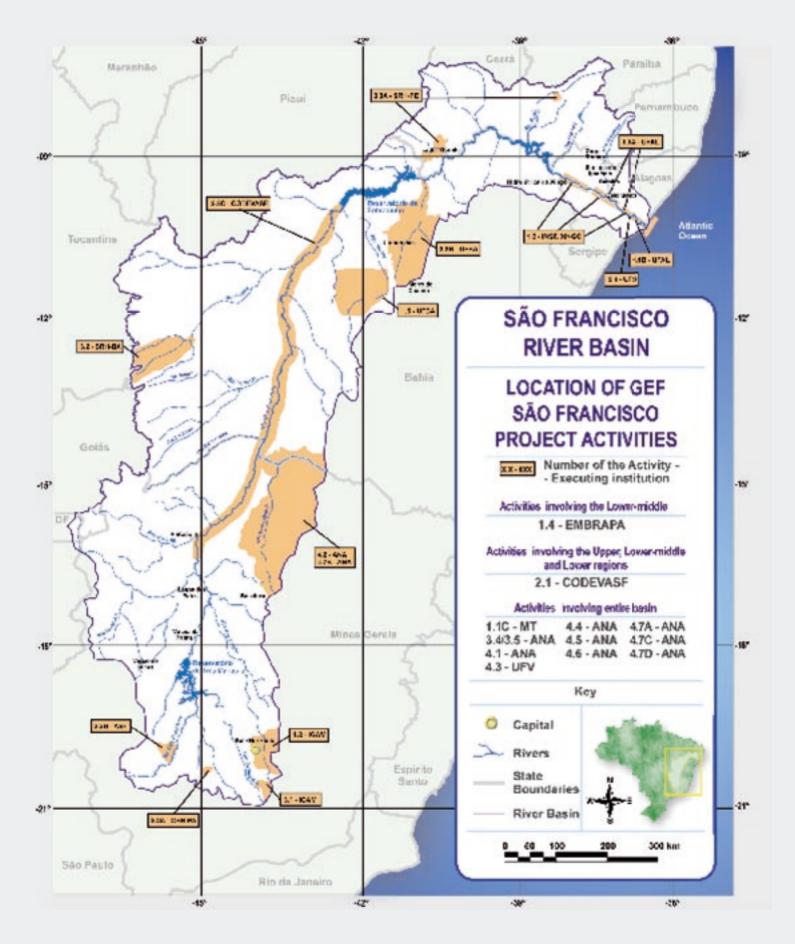


Figure 9. Location of GEF-São Francisco activities